

Многочастичная Локализация Андерсона

Борис Альтшулер
Колумбийский Университет



*Летняя школа Фонда Дмитрия Зимина “Династия”
“Актуальные проблемы теории конденсированного
состояния”
4 – 14 июля 2010г.*



Lecture 2.

0. Introduction

Previous Lecture:

1. Anderson Localization as Metal-Insulator Transition
Anderson model.
Localized and extended states. Mobility edges.
2. Spectral Statistics and Localization.
Poisson versus Wigner-Dyson.
Anderson transition as a transition between different types of spectra.
Thouless conductance
3. Quantum Chaos and Integrability and Localization.
Integrable \iff Poisson; Chaotic \iff Wigner-Dyson

Lecture 2.

1. Localization

beyond real space

Chaos, Quantum Recurrences, and Anderson Localization

Shmuel Fishman, D. R. Grempel, and R. E. Prange

Department of Physics and Center for Theoretical Physics, University of Maryland, College Park, Maryland 20742

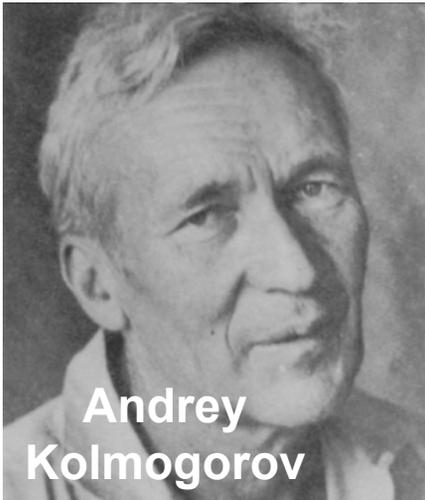
(Received 6 April 1982)

A periodically kicked quantum rotator is related to the Anderson problem of conduction in a one-dimensional disordered lattice. Classically the second model is always chaotic, while the first is chaotic for some values of the parameters. With use of the Anderson-model result that all states are localized, it is concluded that the *local* quasienergy spectrum of the rotator problem is discrete and that its wave function is almost periodic in time. This allows one to understand on physical grounds some numerical results recently obtained in the context of the rotator problem.

Localization in the angular momentum space

Kolmogorov – Arnold – Moser (KAM) theory

A.N. Kolmogorov,
Dokl. Akad. Nauk
SSSR, 1954.
Proc. 1954 Int.
Congress of
Mathematics, North-
Holland, 1957



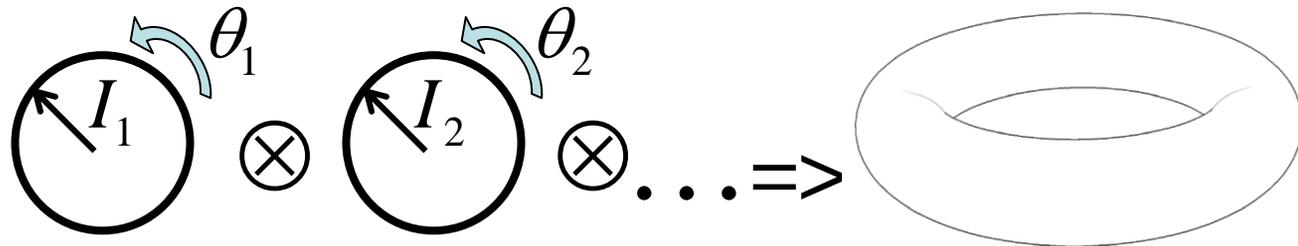
$$\hbar = 0$$

Integrable classical Hamiltonian \hat{H}_0 , $d > 1$:

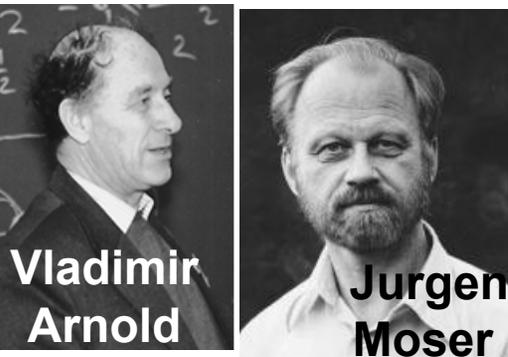
Separation of variables: d sets of
action-angle variables

$$I_1, \theta_1 = 2\pi\omega_1 t; \dots, I_2, \theta_2 = 2\pi\omega_2 t; \dots$$

Quasiperiodic motion:
set of the frequencies $\omega_1, \omega_2, \dots, \omega_d$ which are
in general incommensurate. Actions I_i are
integrals of motion $\partial I_i / \partial t = 0$



tori



Integrable dynamics:

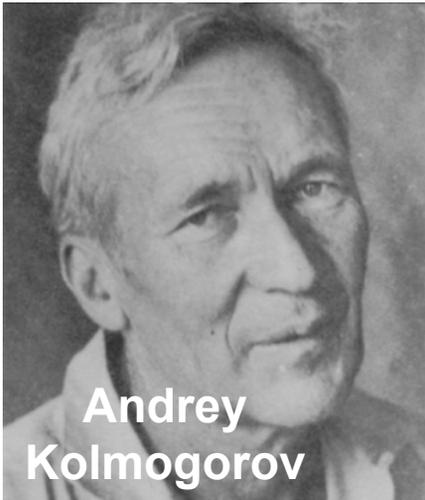
Each classical trajectory is quasiperiodic and confined to a particular torus, which is determined by a set of the integrals of motion

space	Number of dimensions
real space	d
phase space: (x,p)	$2d$
energy shell	$2d-1$
tori	d

Each torus has measure zero on the energy shell !

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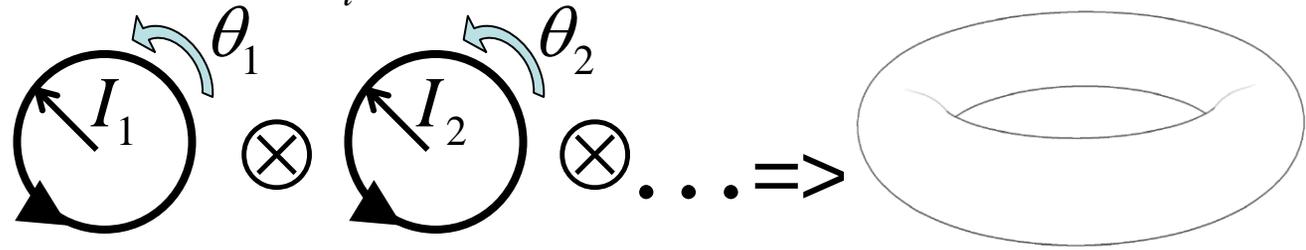


Integrable classical Hamiltonian \hat{H}_0 , $d > 1$:

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Quasiperiodic motion: set of the frequencies, $\omega_1, \omega_2, \dots, \omega_d$ which are in general incommensurate

Actions I_i are integrals of motion $\partial I_i / \partial t = 0$



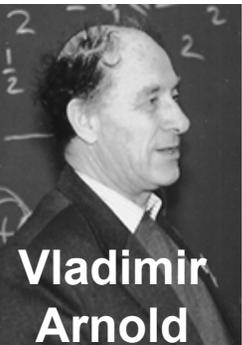
Q:

Will an arbitrary weak perturbation \hat{V} of the integrable Hamiltonian H_0 destroy the tori and make the motion ergodic (when each point at the energy shell will be reached sooner or later) ?

A:

Most of the tori survive weak and smooth enough perturbations

KAM
theorem



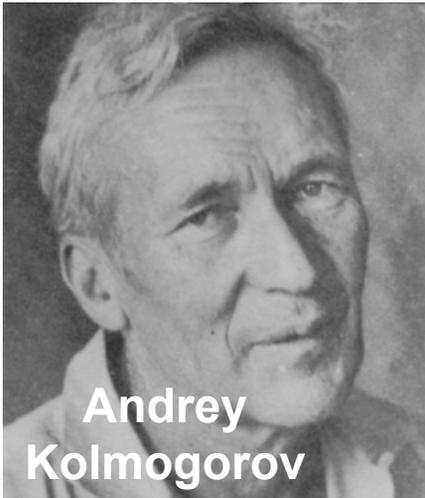
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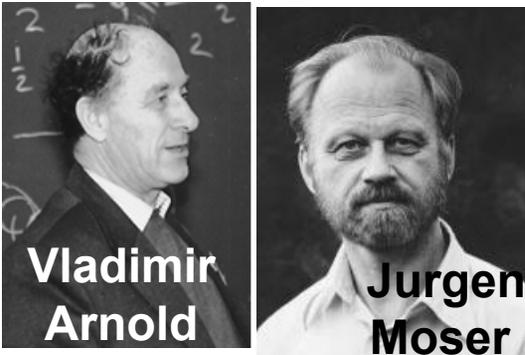
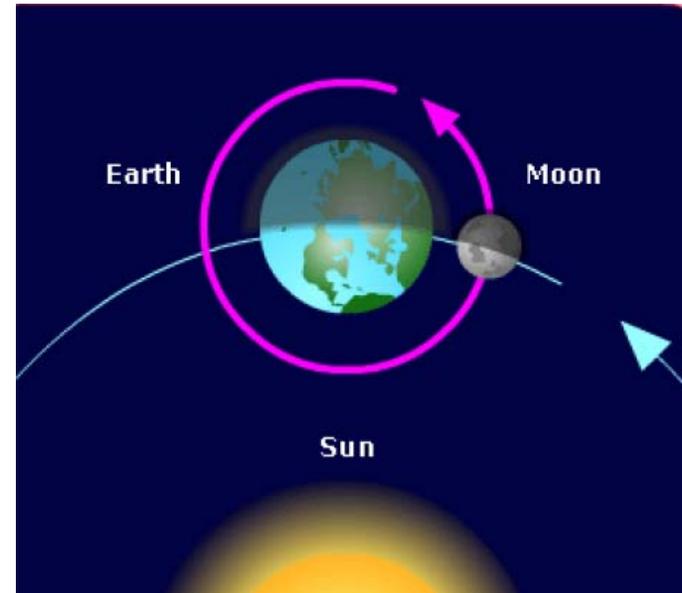
Q: Will an arbitrary weak perturbation \hat{V} of the integrable Hamiltonian \hat{H}_0 destroy the tori and make the motion ergodic (i.e. each point at the energy shell would be reached sooner or later)?

A: Most of the tori survive weak and smooth enough perturbations

**KAM
theorem**

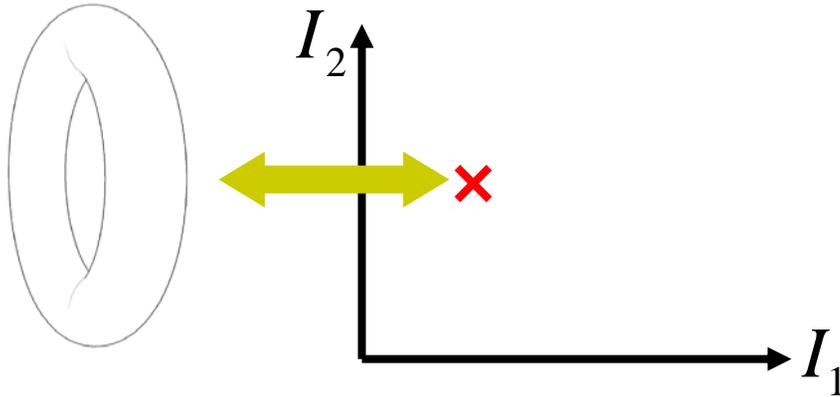


?



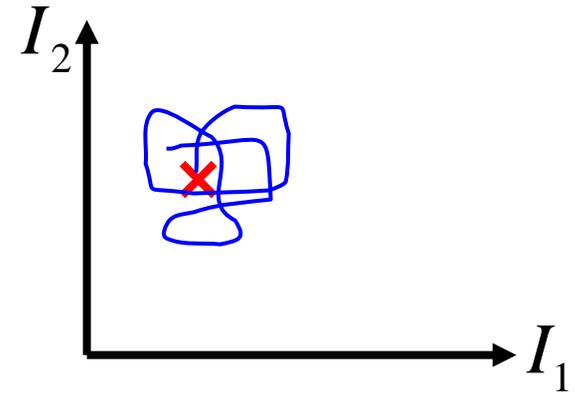
KAM theorem:

Most of the tori survive weak and smooth enough perturbations



Each point in the space of the **integrals of motion** corresponds to a torus and vice versa

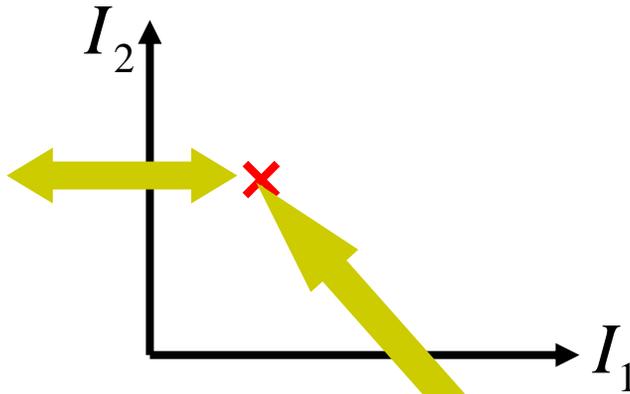
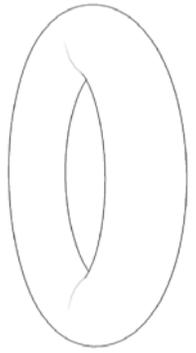
$$\hat{V} \neq 0$$



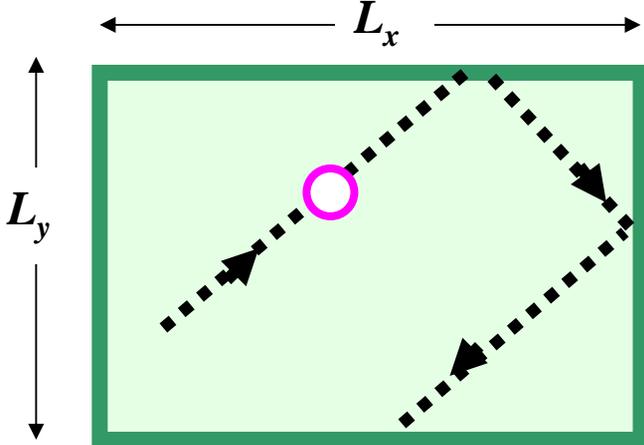
Finite motion.
Localization in the **space of the integrals of motion** ? ●

KAM theorem:

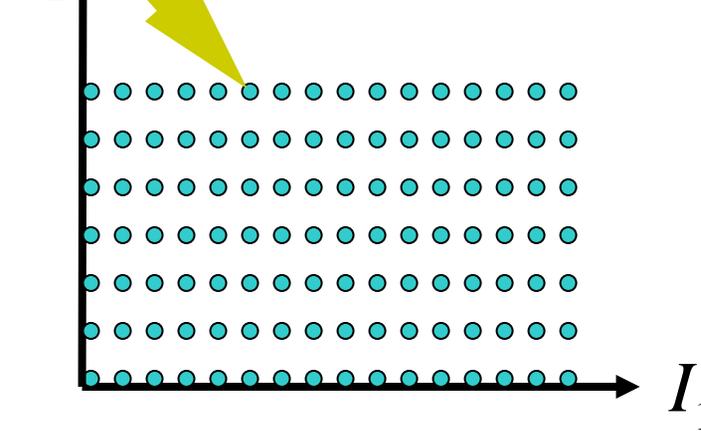
Most of the tori survive weak and smooth enough perturbations



Rectangular billiard



$\hbar \neq 0$

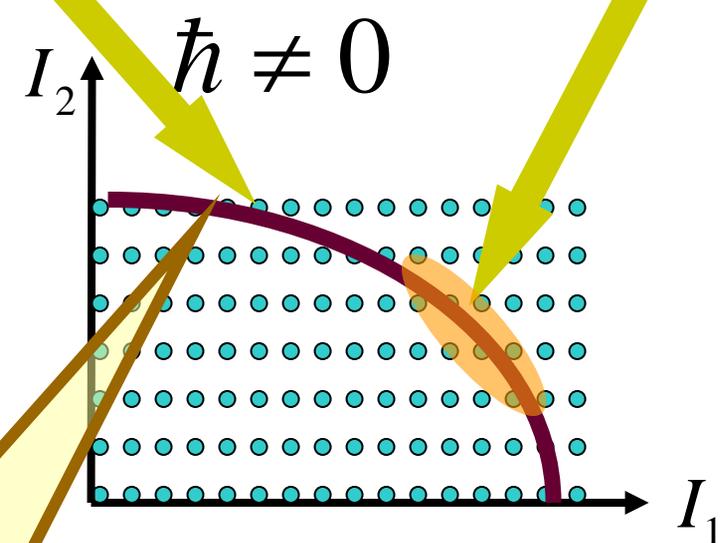
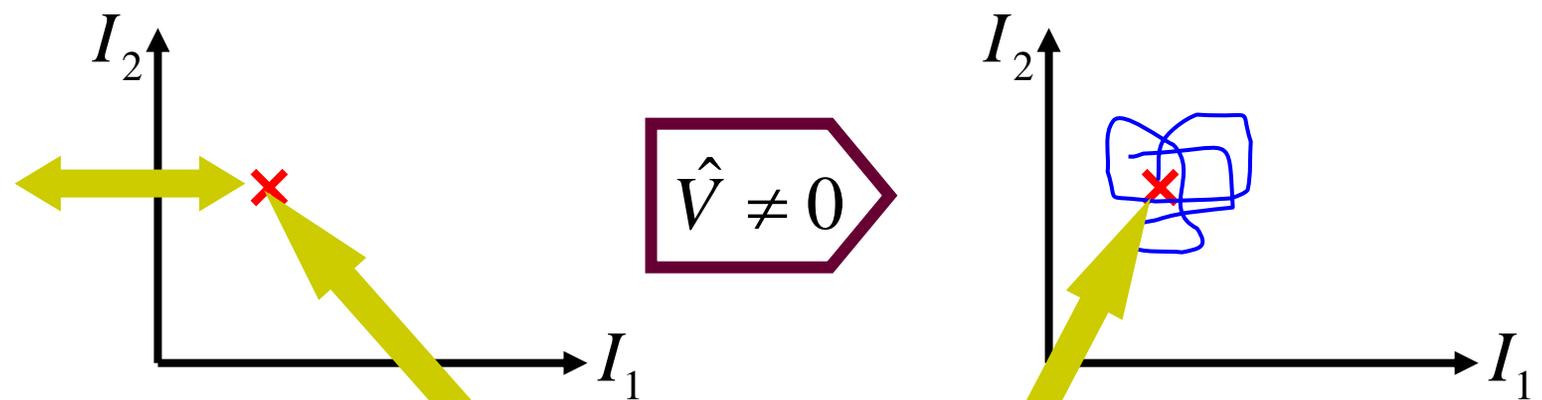
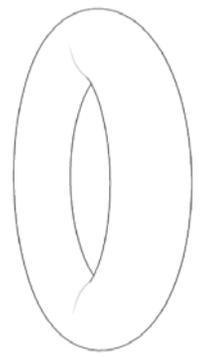


Two integrals of motion

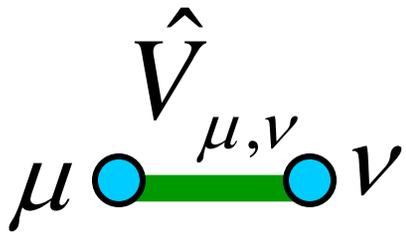
$$p_x = \frac{\pi n}{L_x}; \quad p_y = \frac{\pi m}{L_x}$$

KAM theorem:

Most of the tori survive weak and smooth enough perturbations



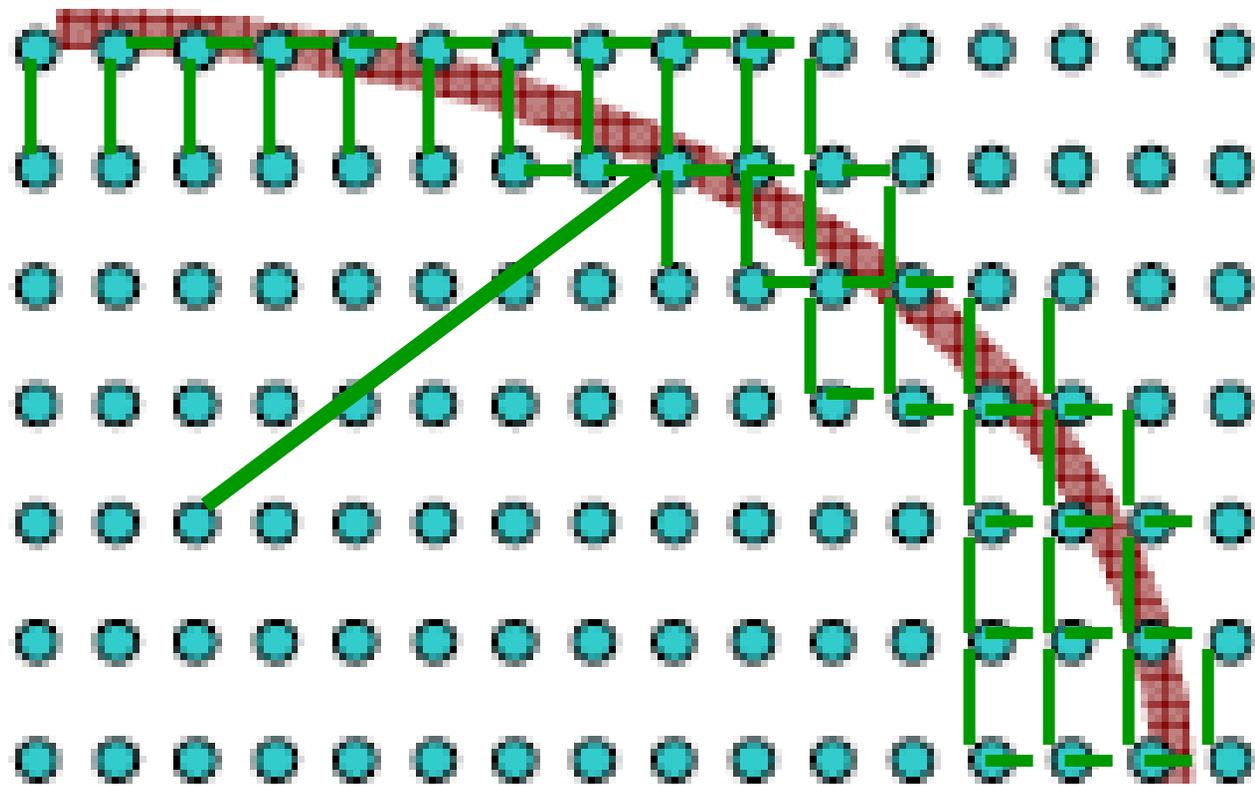
Energy shell



Matrix element of the perturbation

$$|\mu\rangle = \left| \vec{I}^{(\mu)} \right\rangle$$

$$\vec{I}^{(\mu)} = \left\{ I_1^{(\mu)}, \dots, I_d^{(\mu)} \right\}$$



One can speak about localization provided that the perturbation is somewhat **local** in the space of quantum numbers of the original Hamiltonian

AL hops are **local** - one can distinguish "near" and "far"

KAM perturbation is smooth enough

Consider an **integrable** system.
Each state is characterized by a **set of quantum numbers**.

It can be viewed as a point in the **space of quantum numbers**. The whole set of the states forms a **lattice** in this space.

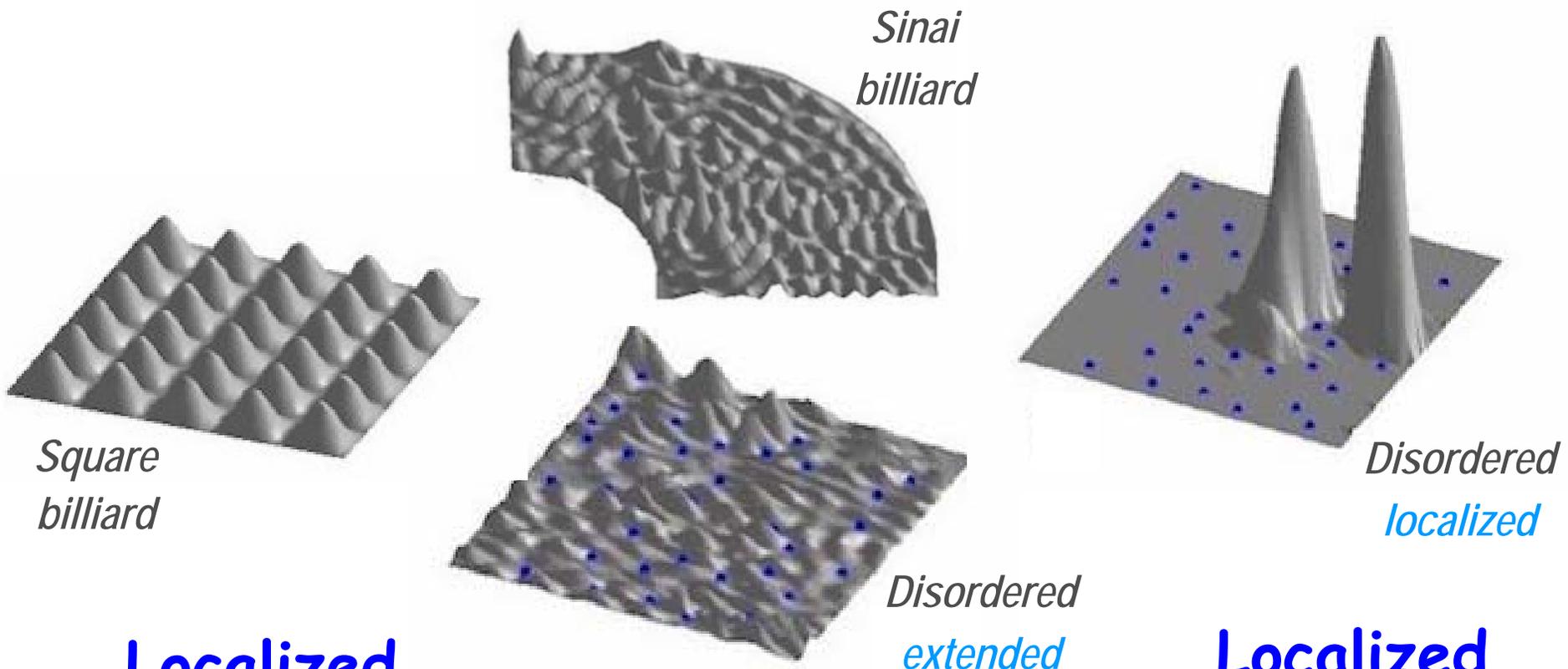
A **perturbation** that violates the integrability provides matrix elements of the **hopping** between different sites (**Anderson model !?**)

Weak enough hopping:

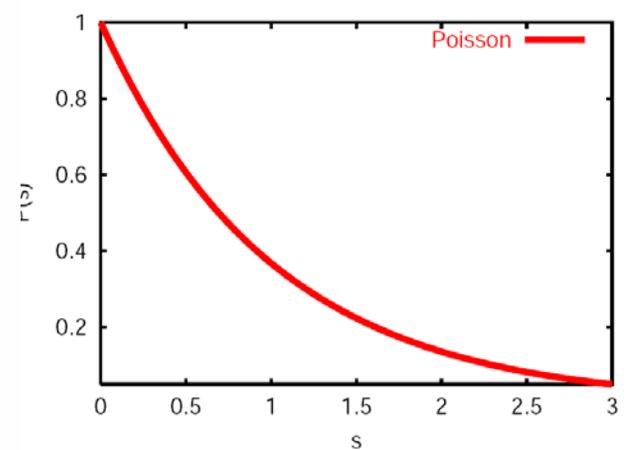
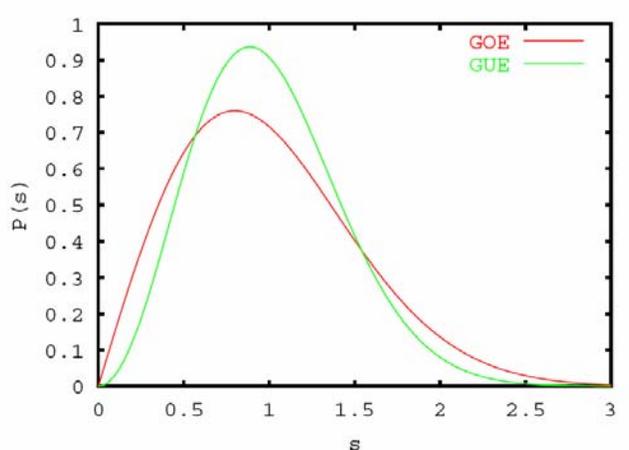
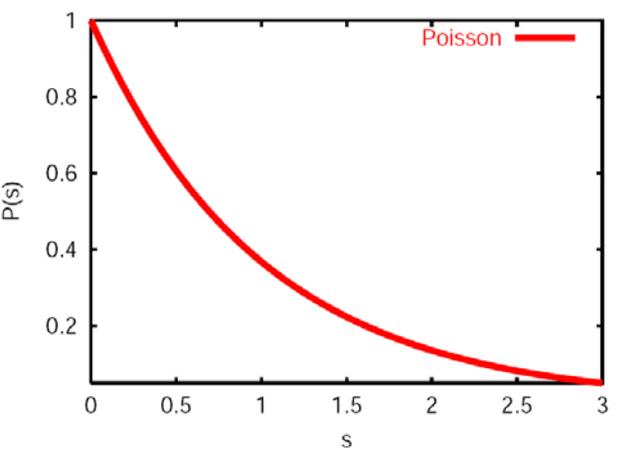
Localization - **Poisson**

Strong hopping:

transition to **Wigner-Dyson**



Localized momentum space ← **extended** → **Localized real space**



~~Strong disorder~~

~~Weak disorder~~

~~localized~~

~~extended~~

Strong disorder

localized

Moderate disorder

extended

No disorder chaotic

extended

No disorder integrable localized

Too weak disorder int. localized

Glossary

Classical	Quantum
Integrable $H_0 = H_0(\vec{I})$	Integrable $\hat{H}_0 = \sum_{\mu} E_{\mu} \mu\rangle\langle\mu , \quad \mu\rangle = \vec{I}\rangle$
KAM	Localized
Ergodic - distributed all over the energy shell Chaotic	Extended ?

Glossary

Classical	Quantum
Integrable $H_0 = H_0(\vec{I})$	Integrable $\hat{H}_0 = \sum_{\mu} E_{\mu} \mu\rangle\langle\mu , \quad \mu\rangle = \vec{I}\rangle$
KAM	Localized
Ergodic (chaotic)	Extended ?

Q: Any Hamiltonian can be diagonalized.

$$\hat{H}_0 = \sum_{\mu} E_{\mu} |\mu\rangle\langle\mu| \quad ?$$

A: Yes, but second condition is crucial.

$$|\mu\rangle = |\vec{I}\rangle \Rightarrow \text{Poisson spectral statistics}$$

Extended states:

Level repulsion, anticrossings,
Wigner-Dyson spectral statistics

**Extended
states:**

Level repulsion, anticrossings,
Wigner-Dyson spectral statistics

**Localized
states:**

Poisson spectral statistics

**Invariant
(basis independent)
definition**

Example 1

Doped semiconductor

Low concentration
of donors

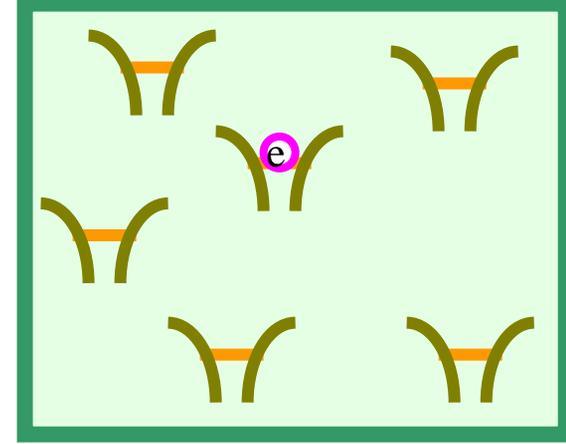


Electrons are localized on
donors \Rightarrow **Poisson**

Higher donor
concentration



Electronic states are
extended \Rightarrow **Wigner-Dyson**



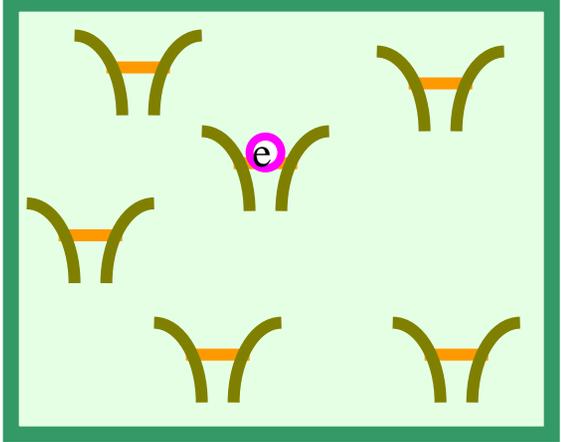
Example 1

Low concentration of donors
Higher donor concentration



Doped semiconductor

Electrons are localized on donors \Rightarrow **Poisson**
Electronic states are extended \Rightarrow **Wigner-Dyson**

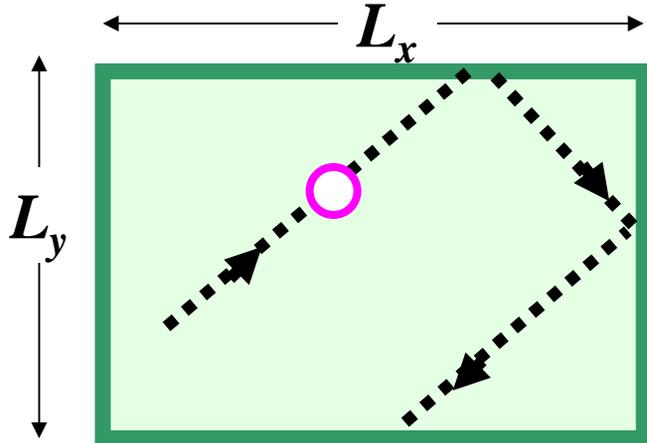


Example 2

Rectangular billiard

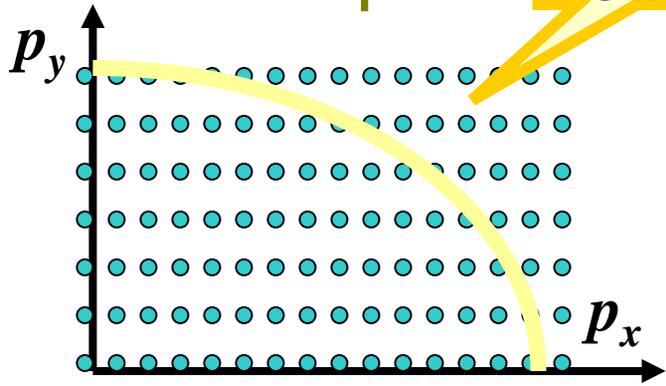
Two integrals of motion

$$p_x = \frac{\pi n}{L_x}; \quad p_y = \frac{\pi m}{L_y}$$



Lattice in the momentum space

energy shell

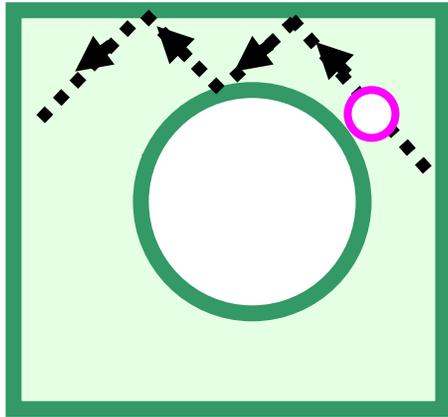


Ideal billiard - localization in the momentum space \Rightarrow **Poisson**

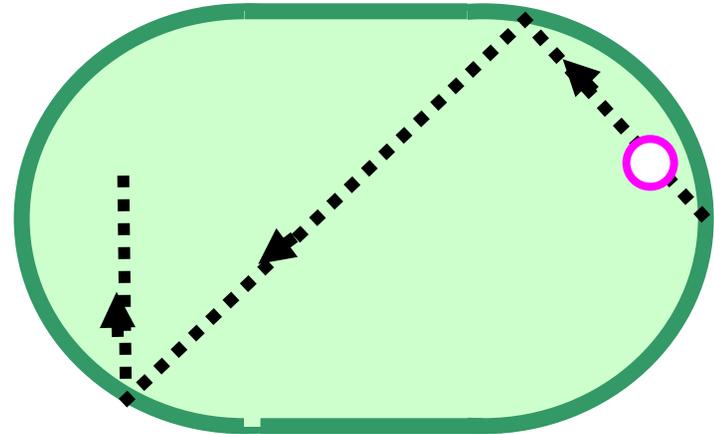
Deformation or smooth random potential. If strong enough

- delocalization in the momentum space \Rightarrow **Wigner-Dyson**

Chaotic Systems - proven



Sinai billiard



Stadium

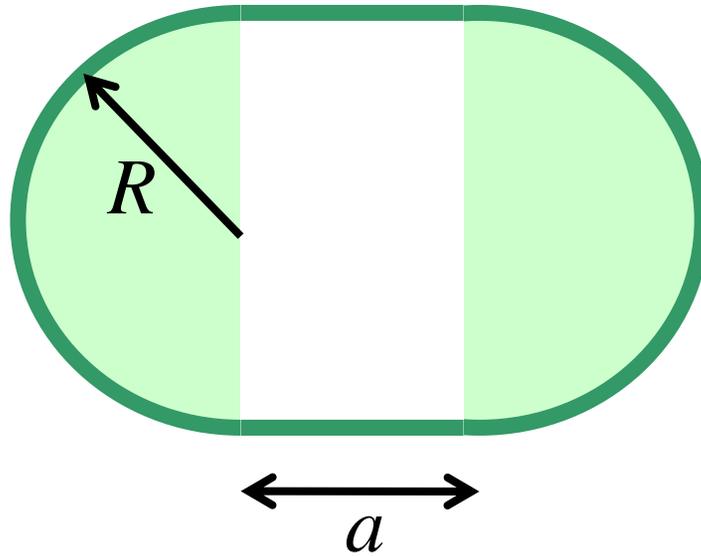


Yakov Sinai

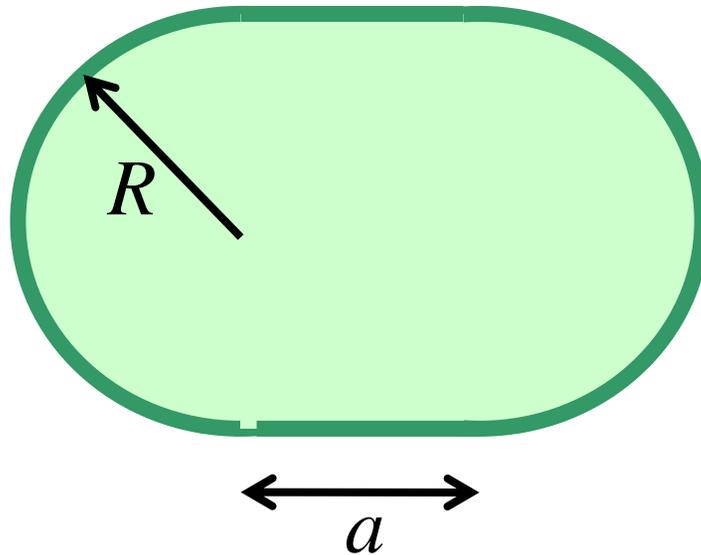


Leonid Bunimovich

Stadium



Stadium



$$\varepsilon \equiv \frac{a}{R}$$

- parameter

Diffusion and Localization in Chaotic Billiards

Fausto Borgonovi,^{1,3,4} Giulio Casati,^{2,3,5} and Baowen Li^{6,7}

¹Dipartimento di Matematica, Università Cattolica, via Trieste 17, 25121 Brescia, Italy

²Università di Milano, sede di Como, Via Lucini 3, Como, Italy

³Istituto Nazionale di Fisica della Materia, Unità di Milano, via Celoria 16, 22100, Milano, Italy

⁴Istituto Nazionale di Fisica Nucleare, Sezione di Pavia, Pavia, Italy

⁵Istituto Nazionale di Fisica Nucleare, Sezione di Milano, Milano, Italy

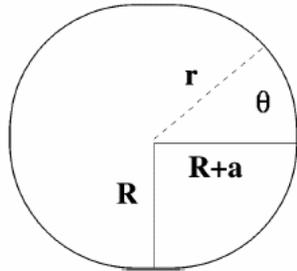
⁶Department of Physics and Centre for Nonlinear and Complex Systems, Hong Kong Baptist University, Hong Kong

⁷Center for Applied Mathematics and Theoretical Physics, University of Maribor, Krekova 2, 2000 Maribor, Slovenia

(Received 29 July 1996)

Example 3

$$\varepsilon \equiv \frac{a}{R}$$



$\varepsilon > 0$ **Chaotic stadium**

$\varepsilon \rightarrow 0$ **Integrable circular billiard**

Angular momentum is the integral of motion

$$\hbar = 0; \quad \varepsilon \ll 1$$

Angular momentum is not conserved

Localization and diffusion in the angular momentum space

Localization and diffusion in the angular momentum space

Diffusion and Localization in Chaotic Billiards

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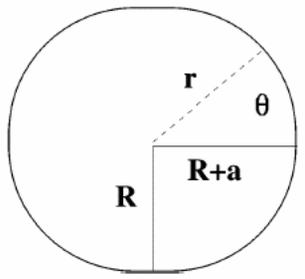
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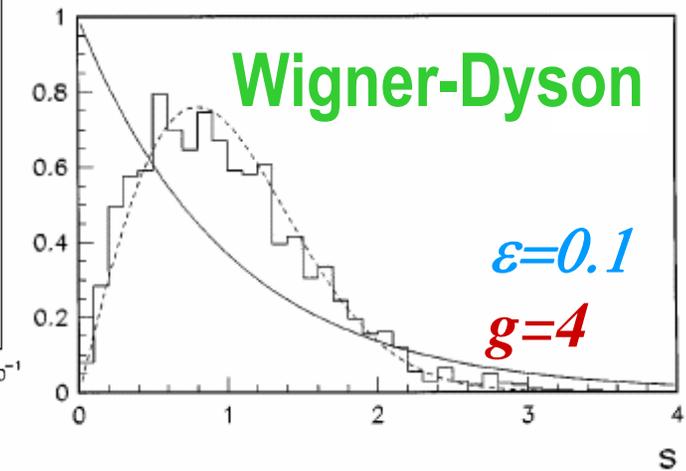
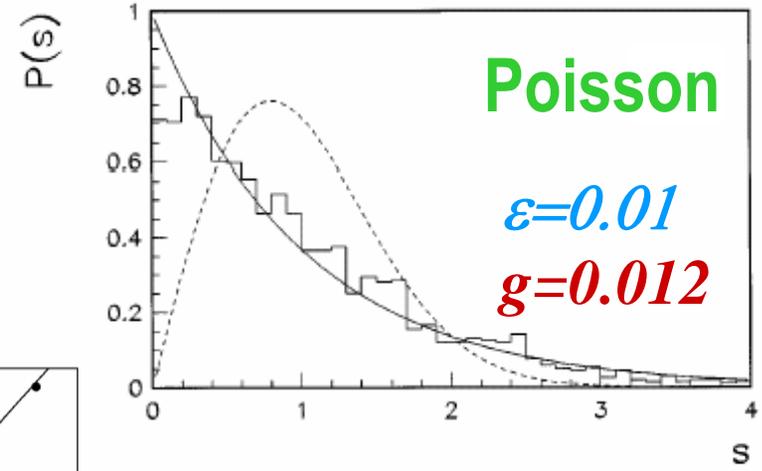
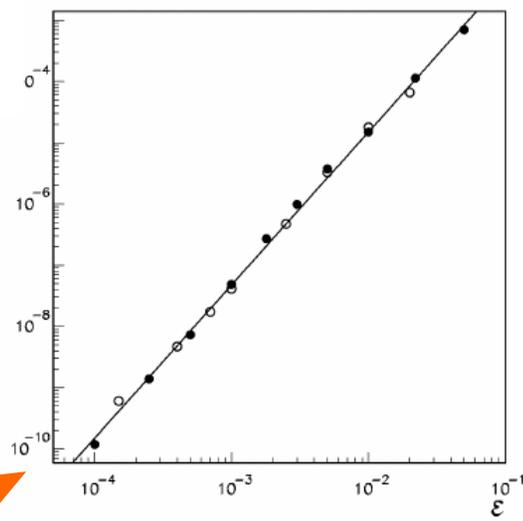
$\varepsilon \rightarrow 0$ **Integrable circular billiard**

Angular momentum is the integral of motion

$$\hbar = 0; \quad \varepsilon \ll 1$$

Diffusion in the angular momentum space

$$D \propto \varepsilon^{5/2}$$



Example 4

D.Poilblanc, T.Ziman, J.Bellisard, F.Mila & G.Montambaux
Europhysics Letters, v.22, p.537, 1993

1D Hubbard Model on a periodic chain

$$H = t \sum_{i,\sigma} \left(c_{i,\sigma}^+ c_{i+1,\sigma} + c_{i+1,\sigma}^+ c_{i,\sigma} \right) + U \sum_{i,\sigma} n_{i,\sigma} n_{i,-\sigma} + V \sum_{i,\sigma,\sigma'} n_{i,\sigma} n_{i+1,\sigma'}$$

$V = 0$

Hubbard
model

integrable

Onsite
interaction

n. neighbors
interaction

$V \neq 0$

extended
Hubbard
model

nonintegrable

Example 4

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1D Hubbard Model on a periodic chain

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$V = 0$ Hubbard model

integrable

Onsite interaction

n. neighbors interaction

$V \neq 0$ extended Hubbard model

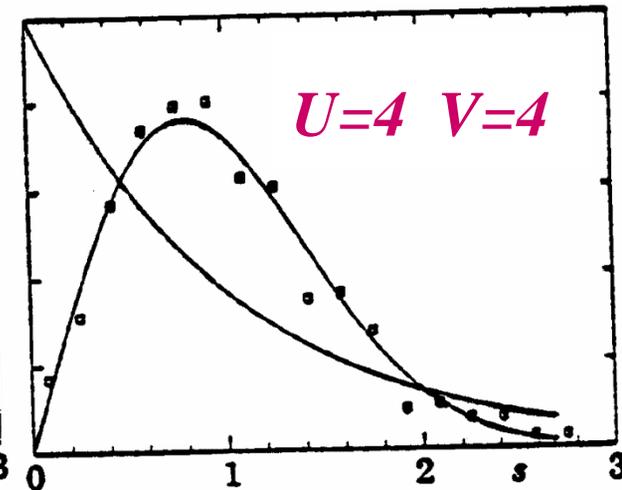
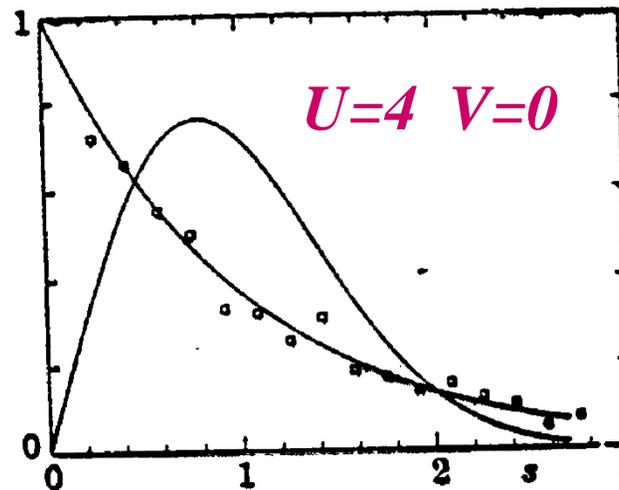
nonintegrable

12 sites

3 particles

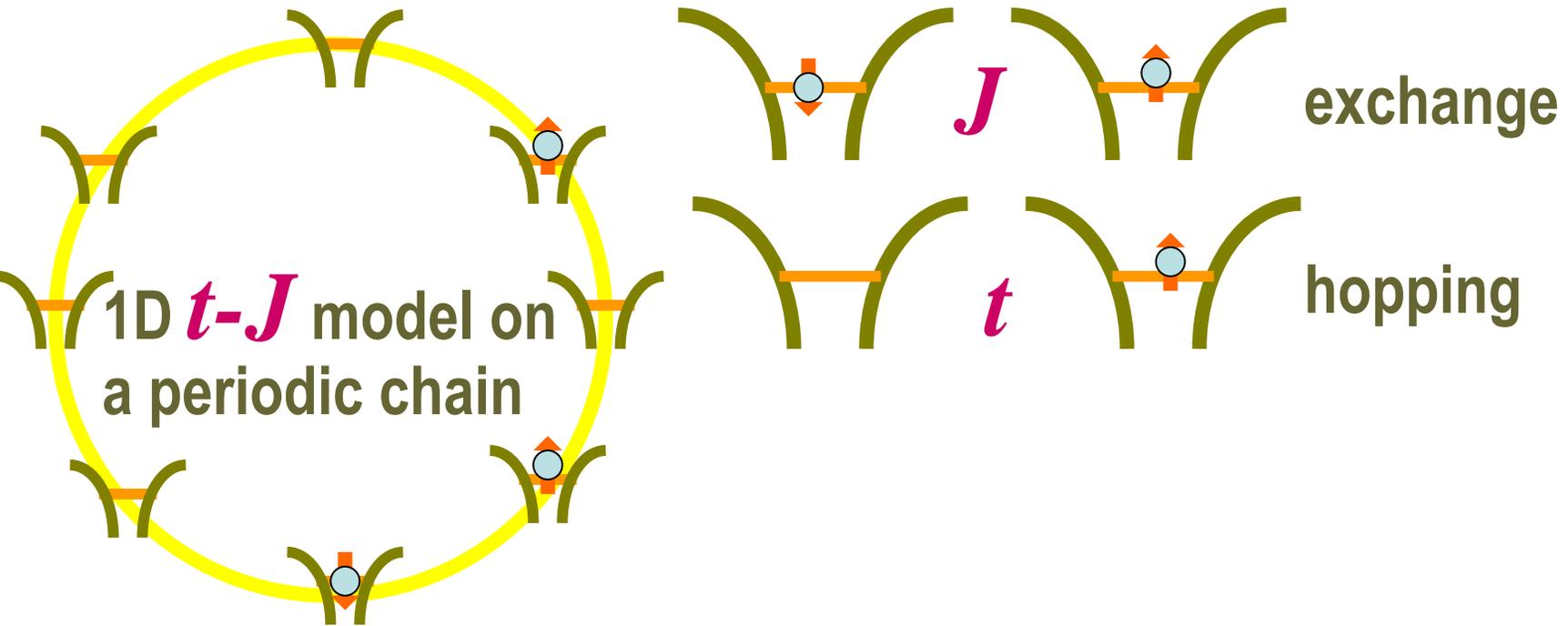
Total spin 1/2

Total momentum $\pi/6$



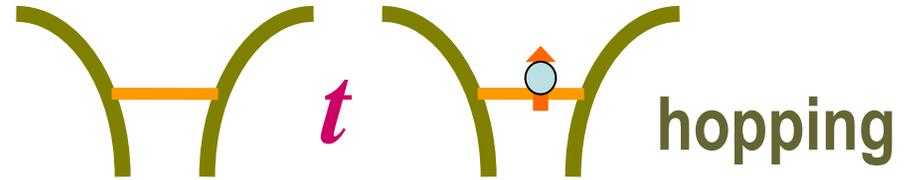
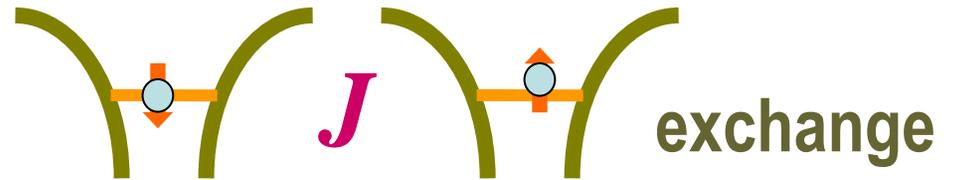
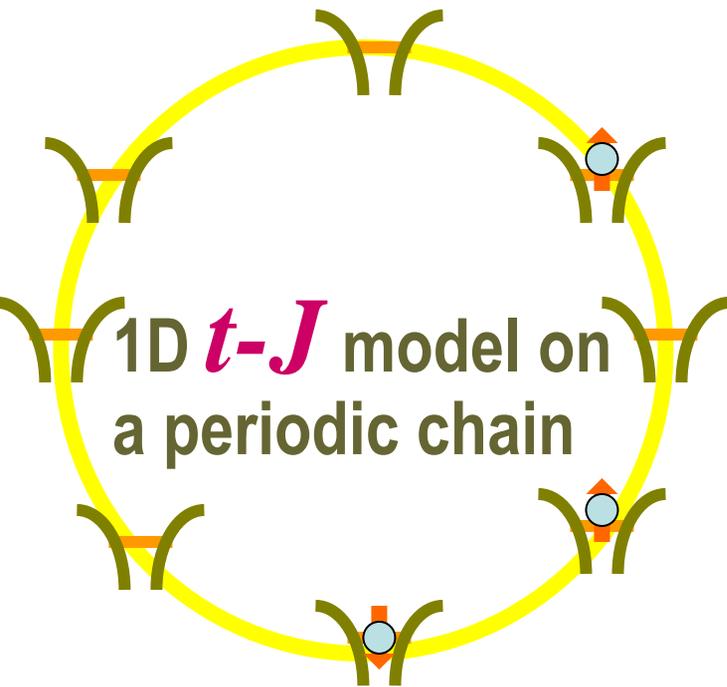
Example 5

D.Poilblanc, T.Ziman, J.Bellisard, F.Mila & G.Montambaux
Europhysics Letters, v.22, p.537, 1993



Example 5

D.Poilblanc, T.Ziman, J.Bellisard, F.Mila & G.Montambaux
Europhysics Letters, v.22, p.537, 1993

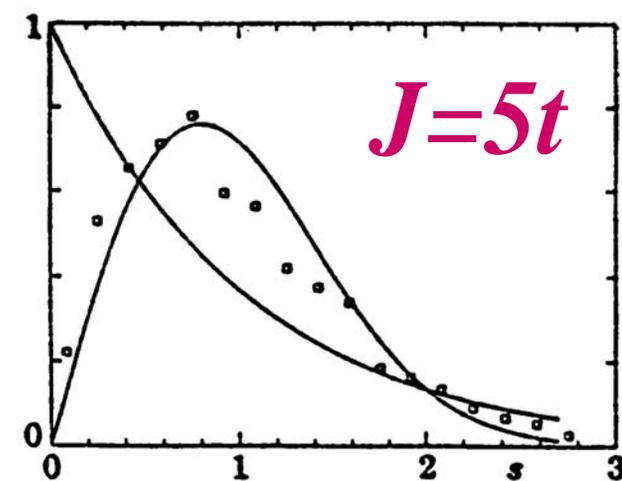
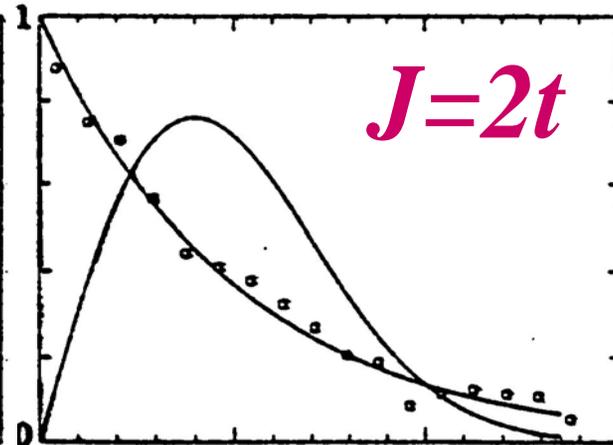
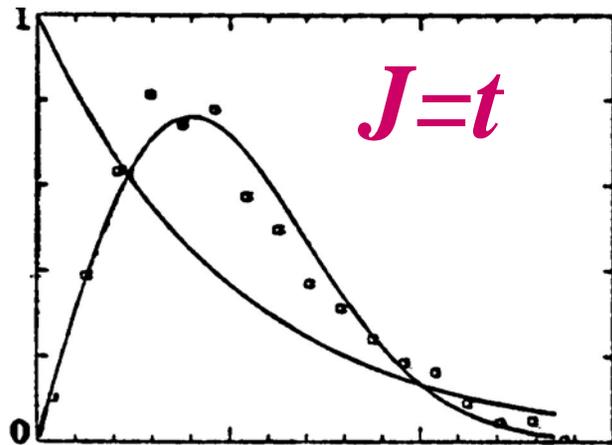
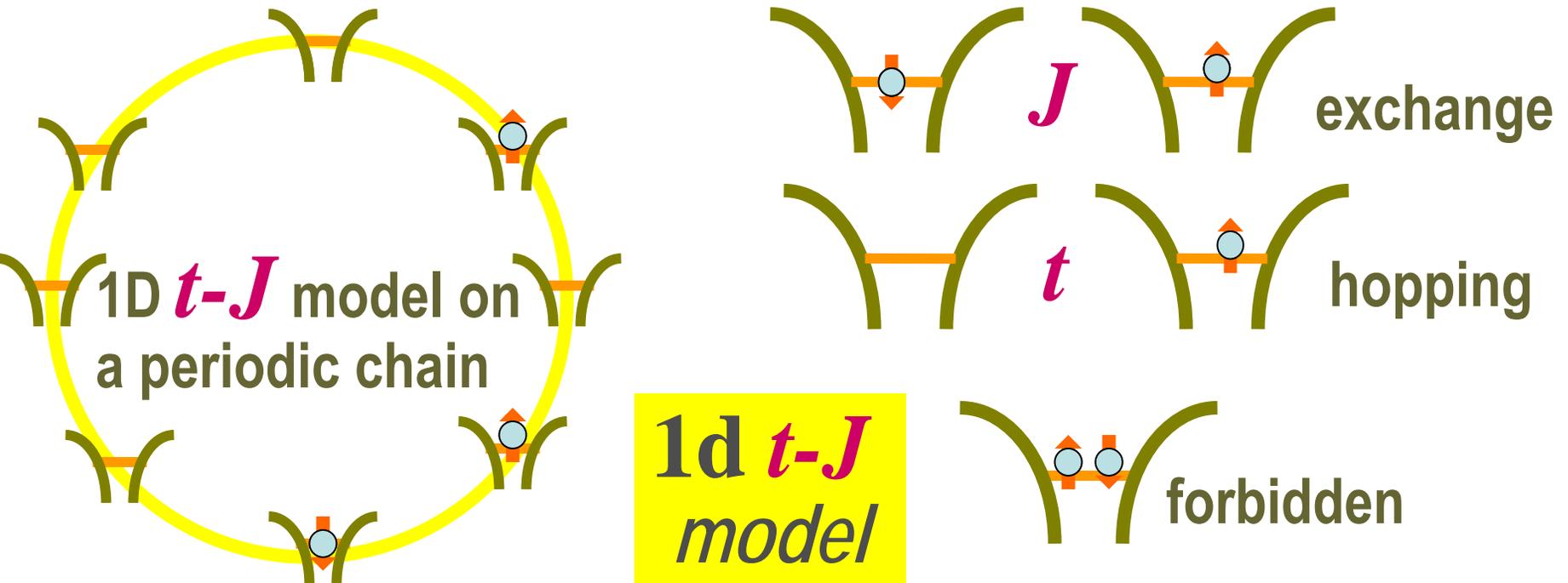


1d t - J model



Example 5

D.Poilblanc, T.Ziman, J.Bellisard, F.Mila & G.Montambaux
Europhysics Letters, v.22, p.537, 1993



$N=16$; one hole

Wigner-Dyson random matrix statistics follows from the delocalization.

Q *Why the random matrix theory (RMT) works so well for nuclear spectra*

?

Many-Body excitations are delocalized !

What does it mean ?

Consider a finite system of quantum particles, e.g., fermions. Let the **one-particle spectra** be **chaotic** (Wigner-Dyson).

Q ■ What is the statistics of the **many-body** spectra? **?**

a) The particles **do not interact** with each other \implies **Poisson**: individual energies are conserving quantum numbers.

b) The particles **do interact** **?????**

Lecture 2.

2. Many-Body excitation in finite systems

Decay of a quasiparticle with an energy \mathcal{E} in Landau Fermi liquid

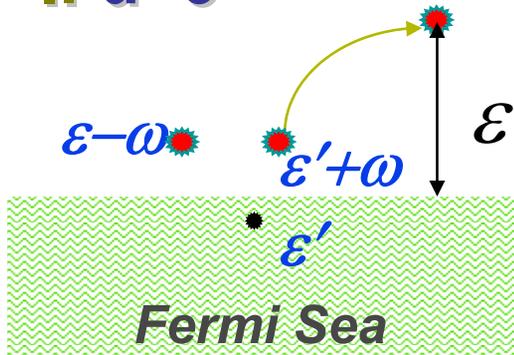
\mathcal{E} ●



Fermi Sea

Quasiparticle decay rate at $T = 0$ in a clean Fermi Liquid.

I. $d=3$



$$\frac{\hbar}{\tau_{e-e}(\epsilon)} \propto \left(\frac{\text{coupling}}{\text{constant}} \right)^2 \frac{\epsilon^2}{\epsilon_F} \quad d = 3$$

Reasons:

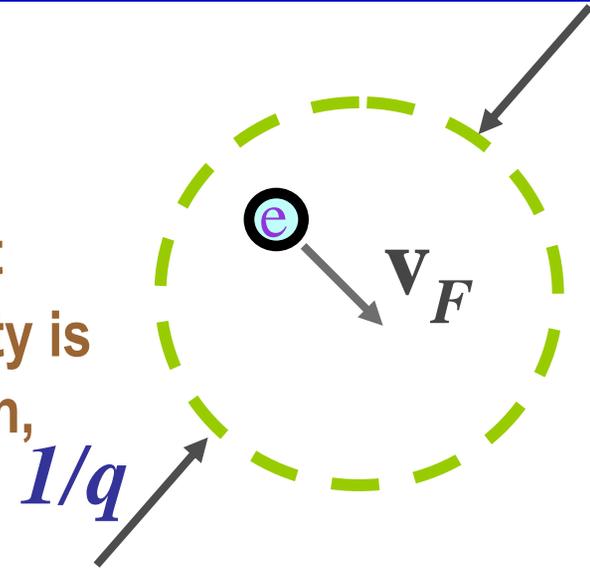
- At small ϵ the energy transfer, ω , is small and the integration over ϵ' and ω gives the factor ϵ^2 .
- The momentum transfer, \mathbf{q} , is large and thus the scattering probability at given ϵ' and ω does not depend on ϵ' , ω or ϵ

Quasiparticle decay rate at $T = 0$ in a clean Fermi Liquid.

II. Low dimensions

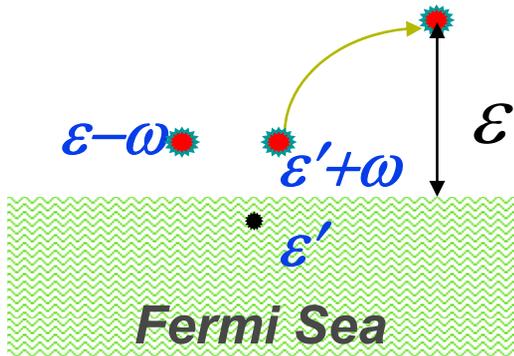
Small moments transfer, q , become important at low dimensions because the scattering probability is proportional to the squared time of the interaction,

$$(q v_F)^{-2}$$



	$\varepsilon^2 / \varepsilon_F$	$d = 3$
$\frac{\hbar}{\tau_{e-e}(\varepsilon)}$	$\propto (\varepsilon^2 / \varepsilon_F) \log(\varepsilon_F / \varepsilon)$	$d = 2$
	ε	$d = 1$

Quasiparticle decay rate at $T = 0$ in a clean Fermi Liquid.



		ϵ^2 / ϵ_F	$d = 3$
$\frac{\hbar}{\tau_{e-e}(\epsilon)}$	\propto	$(\epsilon^2 / \epsilon_F) \log(\epsilon_F / \epsilon)$	$d = 2$
		ϵ	$d = 1$

Conclusions:

1. For $d=3,2$ from $\epsilon \ll \epsilon_F$ it follows that $\epsilon \tau_{e-e} \gg \eta$, i.e., that the **quasiparticles** are well determined and the Fermi-liquid approach is applicable.
2. For $d=1$ $\epsilon \tau_{e-e}$ is of the order of η , i.e., that the Fermi-liquid approach is not valid for **1d** systems of interacting fermions.
Luttinger liquids

Decay of a quasiparticle with an energy \mathcal{E} in Landau Fermi liquid

\mathcal{E} ●

$\mathcal{E}-\omega$ ●

$\mathcal{E}_1+\omega$ ●

\mathcal{E}_1 ●

Fermi Sea

Quantum dot – zero-dimensional case ?

Decay of a quasiparticle with an energy ε in Landau Fermi liquid

ε ●

Quantum dot – zero-dimensional case ?

$\varepsilon - \omega$ ●

Decay rate of a quasiparticle with energy ε

(U.Sivan, Y.Imry & A.Aronov, 1994)

$\varepsilon_1 + \omega$ ●

Fermi Golden rule:

$$\gamma(\varepsilon) \propto \delta_1 \left(\frac{\varepsilon}{E_T} \right)^2$$

Mean level spacing

Thouless energy

ε_1 ●

Fermi Sea

Decay rate of a quasiparticle with energy ε in 0d.

(U.Sivan, Y.Imry & A.Aronov,1994)

Fermi Golden rule:

$$\gamma(\varepsilon) \propto \delta_1 \left(\frac{\varepsilon}{E_T} \right)^2$$

Mean level spacing

Thouless energy

Recall: $\frac{E_T}{\delta_1} \equiv g$

Thouless conductance

Def: Zero dimensional system $E_T \gg \varepsilon \gg \delta_1 \Rightarrow g \gg 1$

One particle states are extended all over the system

Decay rate of a quasiparticle with energy ε in 0d.

Problem:

ε ●

$\varepsilon - \omega$ ●

$\varepsilon_1 + \omega$ ●

ε_1 ●

Fermi Sea

zero-dimensional case



one-particle spectrum is
discrete



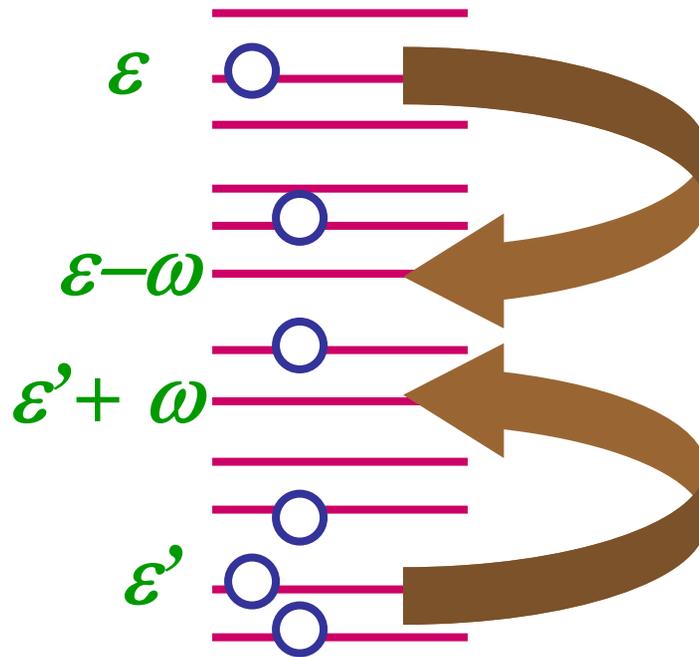
equation

$$\varepsilon_1 + \varepsilon_2 = \varepsilon'_1 + \varepsilon'_2$$

can not be satisfied exactly

Recall: in the Anderson model
the site-to-site hopping **does**
not conserve the energy

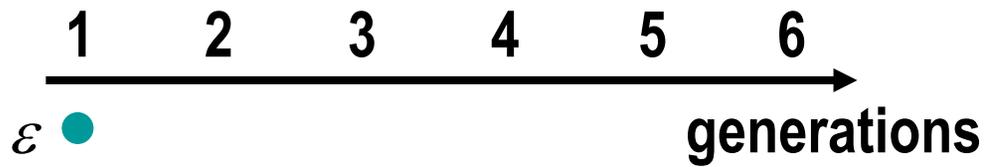
Decay rate of a quasiparticle with energy ε in 0d.



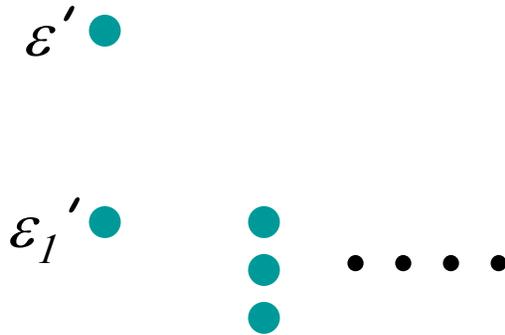
Offdiagonal
matrix
element

$$M(\omega, \varepsilon, \varepsilon') \propto \frac{\delta_1}{g} \ll \delta_1$$

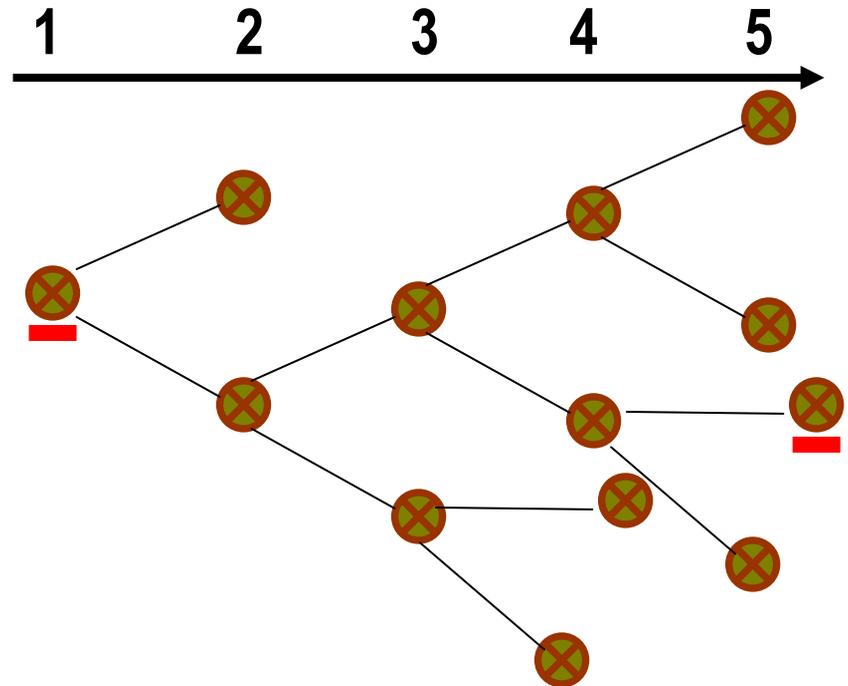
Chaos in Nuclei - Delocalization?



Delocalization
in Fock space



Can be mapped (approximately)
to the problem of localization
on Cayley tree



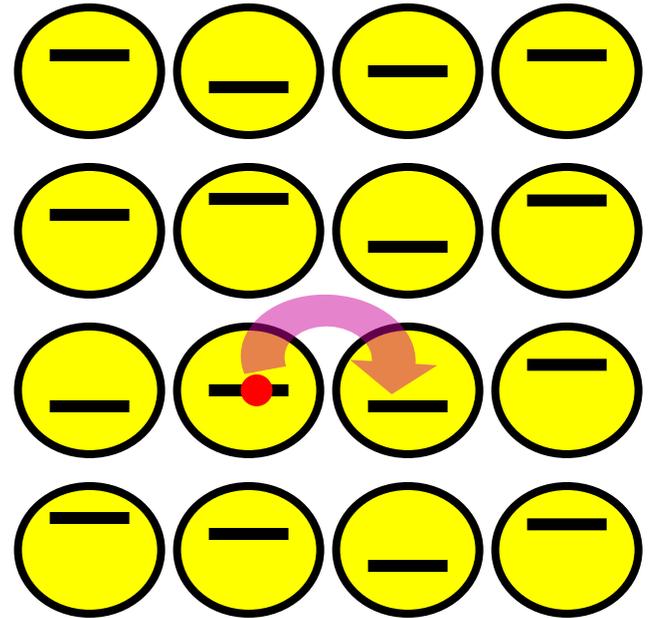
Conventional Anderson Model

- one particle,
- one level per site,
- onsite disorder
- nearest neighbor hopping

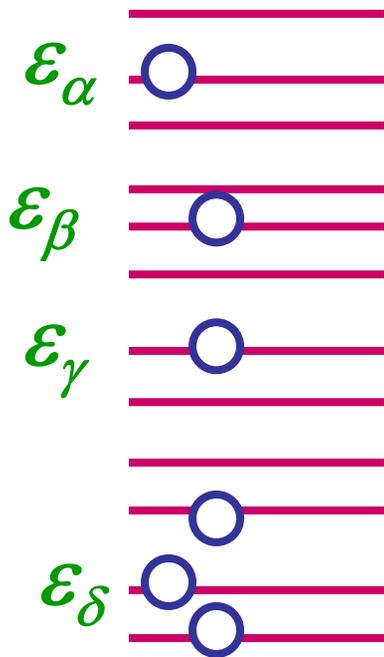
Basis: $|i\rangle$, i labels sites

Hamiltonian: $\hat{H} = \hat{H}_0 + \hat{V}$

$$\hat{H}_0 = \sum_i \varepsilon_i |i\rangle\langle i| \quad \hat{V} = \sum_{i,j=n.n.} I |i\rangle\langle j|$$



0d system; no interactions



many (N) particles **no interaction**:
Individual energies ε_α and thus occupation numbers $n^{(\alpha)}$ are conserved

N conservation laws
"integrable system"

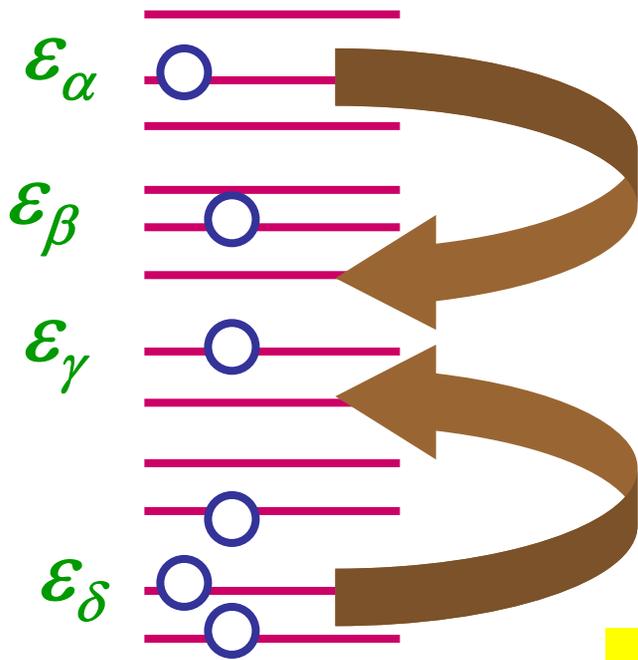
integrable system

$$\hat{H} = \sum_{\mu} E_{\mu} |\mu\rangle\langle\mu|$$

$$\mu = \{n^{(\alpha)}\}$$

$$E_{\mu} = \sum_{\alpha} n^{(\alpha)} \varepsilon_{\alpha}$$

0d system with interactions



Basis: $|\mu\rangle$

$n^\alpha = 0, 1$ occupation numbers

$$\mu = \{n^\alpha\}$$

α labels levels

Hamiltonian:

$$\hat{H} = \hat{H}_0 + \hat{V}$$

$$\hat{H}_0 = \sum_{\mu} E_{\mu} |\mu\rangle\langle\mu|$$

$$\hat{V} = \sum_{\mu, \eta(\mu)} I |\mu\rangle\langle \nu(\mu)|$$

$$|\nu(\mu)\rangle = |\dots, n^\alpha - 1, \dots, n^\beta - 1, \dots, n^\gamma + 1, \dots, n^\delta + 1, \dots\rangle$$

Conventional Anderson Model

Basis: $|i\rangle$
 i labels sites

$$\hat{H} = \sum_i \varepsilon_i |i\rangle\langle i| + \sum_{i,j=n.n.} I |i\rangle\langle j|$$

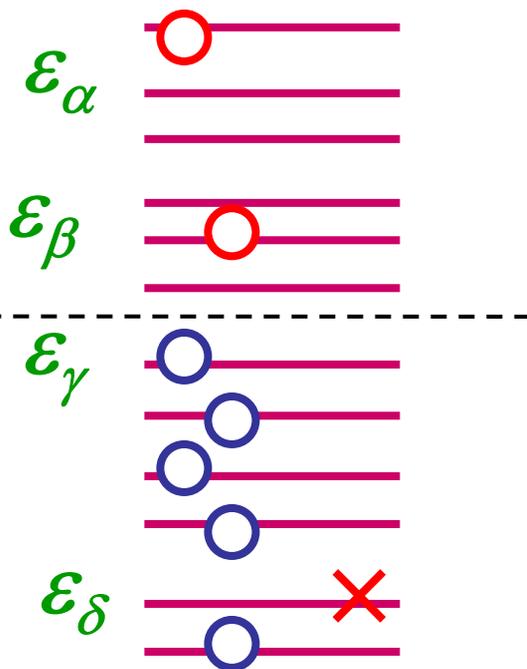
Many body Anderson-like Model

Basis: $|\mu\rangle$, $\mu = \{n^\alpha\}$
 α labels levels $n^\alpha = 0, 1$ occupation numbers

$$\hat{H} = \sum_\mu E_\mu |\mu\rangle\langle\mu| + \sum_{\mu, \nu(\mu)} I |\mu\rangle\langle\nu(\mu)|$$

“nearest neighbors”: $|\nu(\mu)\rangle = |\dots, n^\alpha - 1, \dots, n^\beta - 1, \dots, n^\gamma + 1, \dots, n^\delta + 1, \dots\rangle$

0d system with interactions



Basis: $|\mu\rangle$

$$\mu = \{n^\alpha\}$$

$n^\alpha = 0, 1$ occupation numbers

α labels levels

Hamiltonian: $\hat{H} = \hat{H}_0 + \hat{V}$

$$\hat{H}_0 = \sum_{\mu} E_{\mu} |\mu\rangle \langle \mu|$$

$$\hat{V} = \sum_{\mu, \eta(\mu)} I |\mu\rangle \langle \nu(\mu)|$$

$$|\nu(\mu)\rangle = |\dots, n^\alpha - 1, \dots, n^\beta - 1, \dots, n^\gamma + 1, \dots, n^\delta + 1, \dots\rangle$$

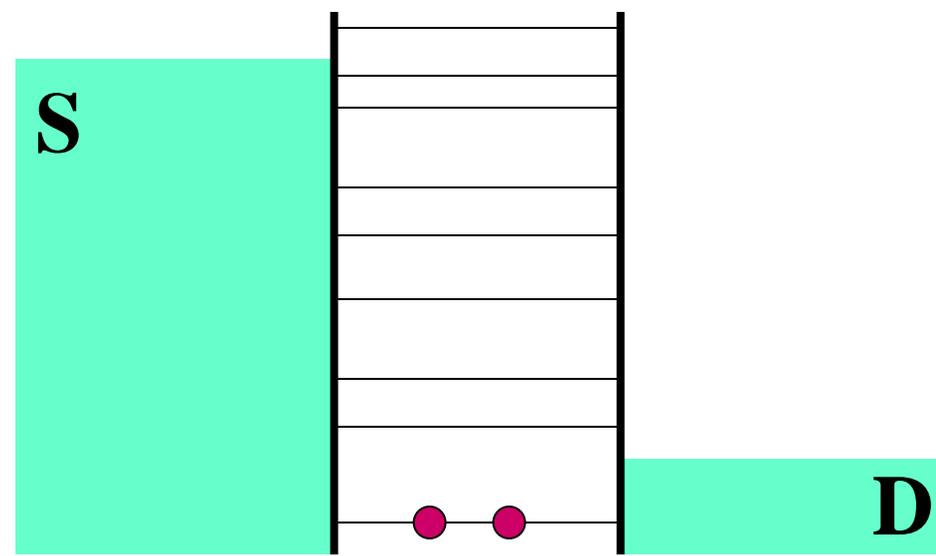
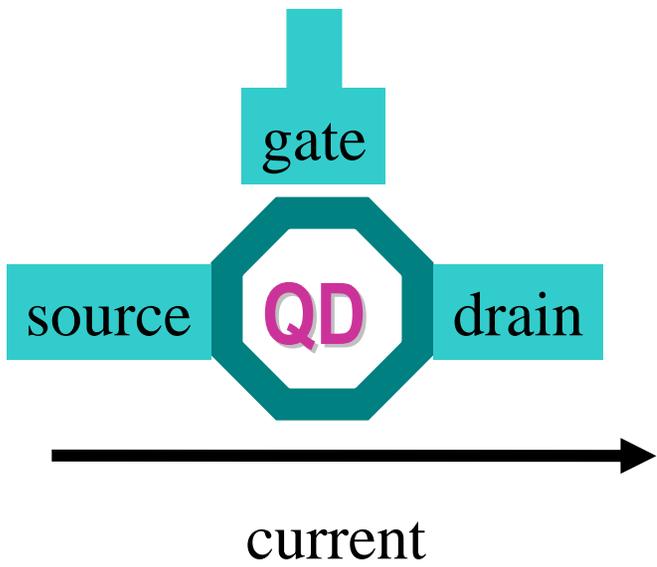
Few excitations \implies no recombination \implies Cayley tree

Isolated quantum dot - 0d system of fermions

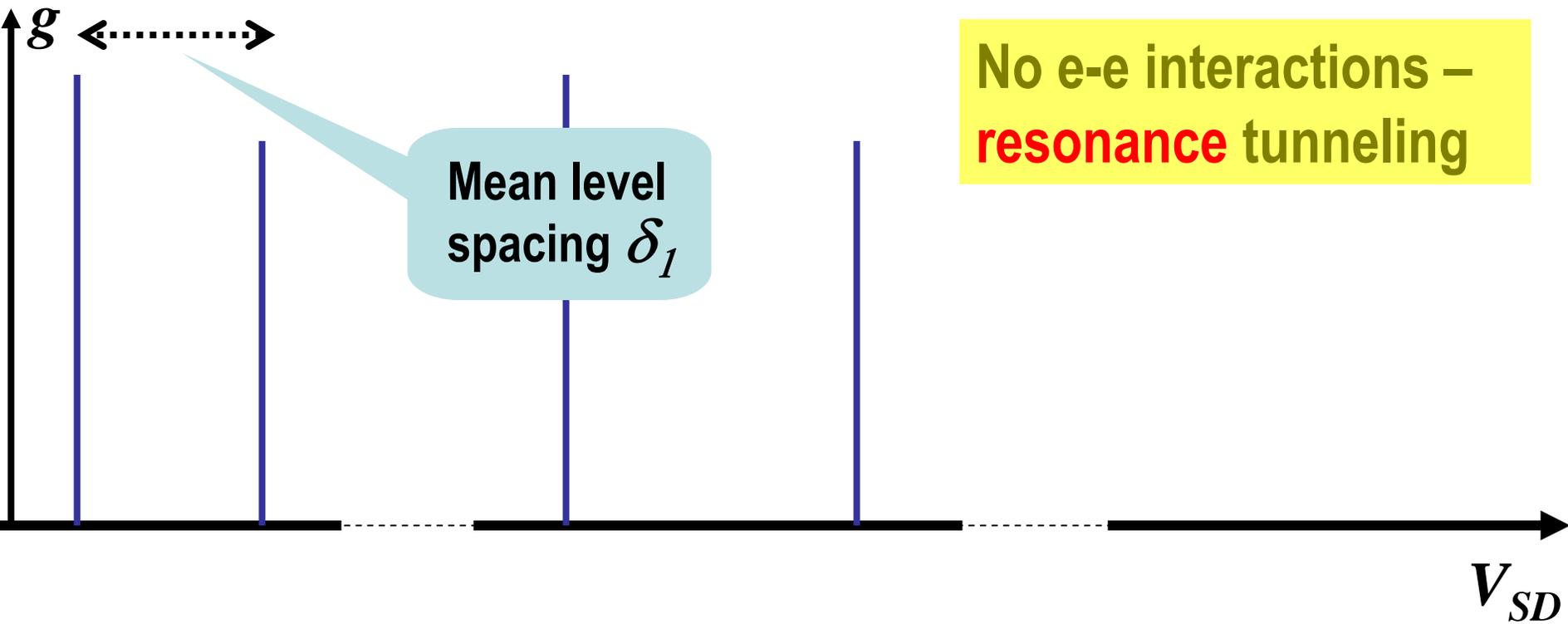
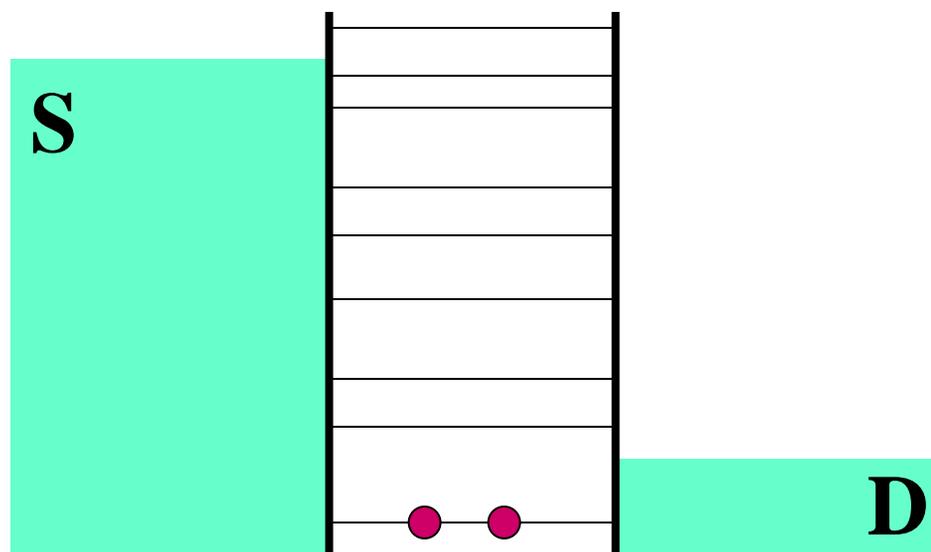
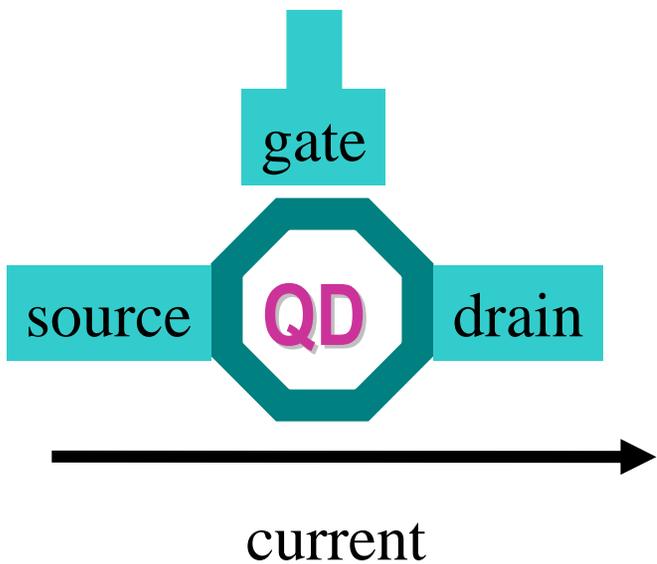
Exact many-body states: Exact means that the imaginary part of the energy is zero!
Ground state, excited states

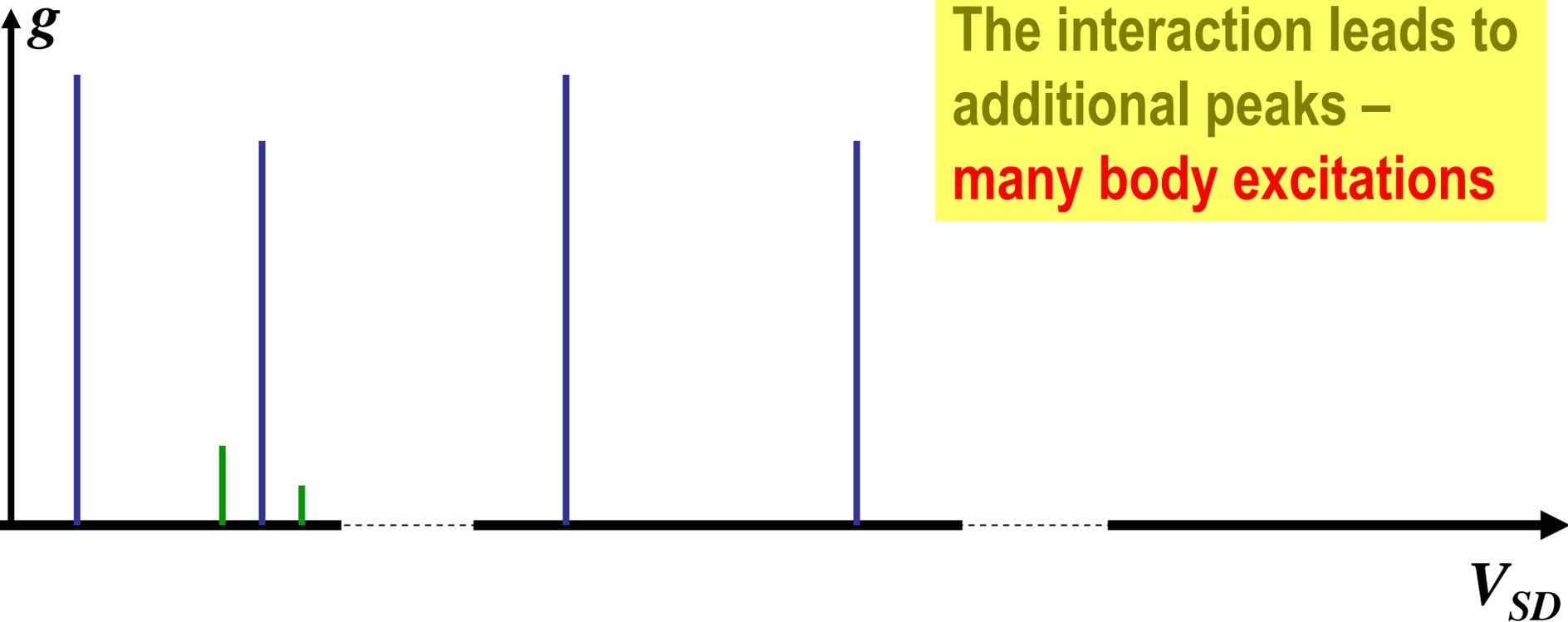
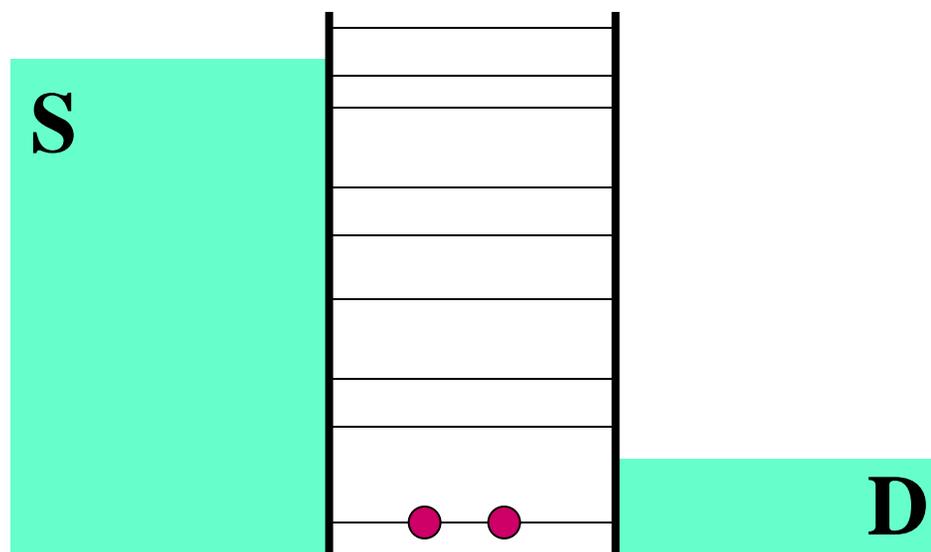
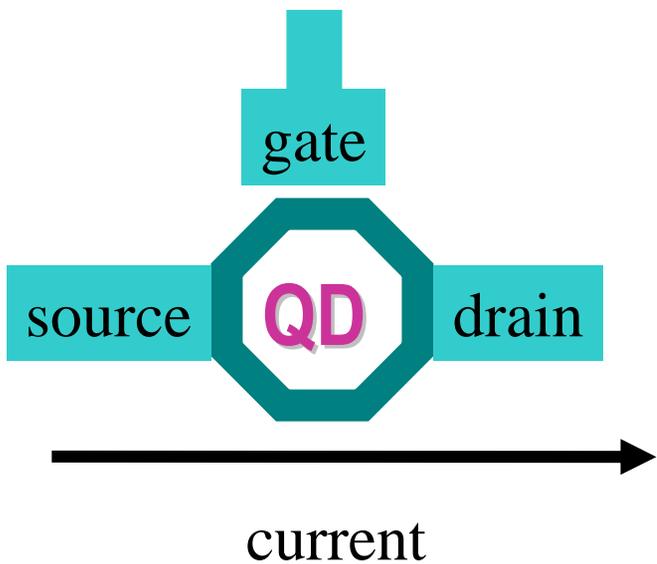
Quasiparticle excitations: Finite decay rate

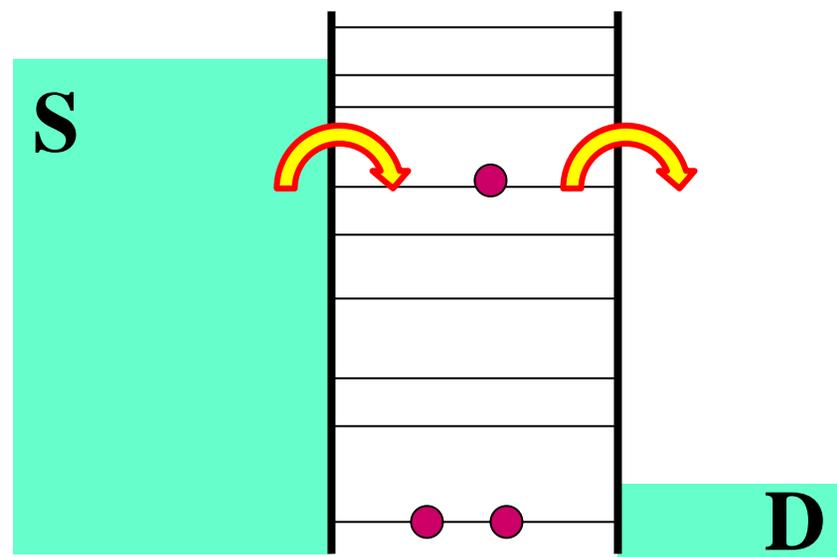
Q: What is the connection ?



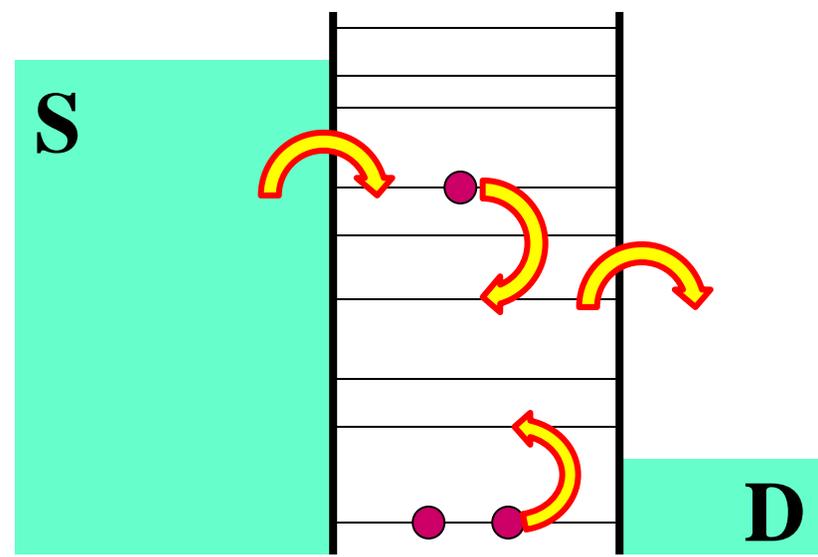
No e-e interactions –
resonance tunneling



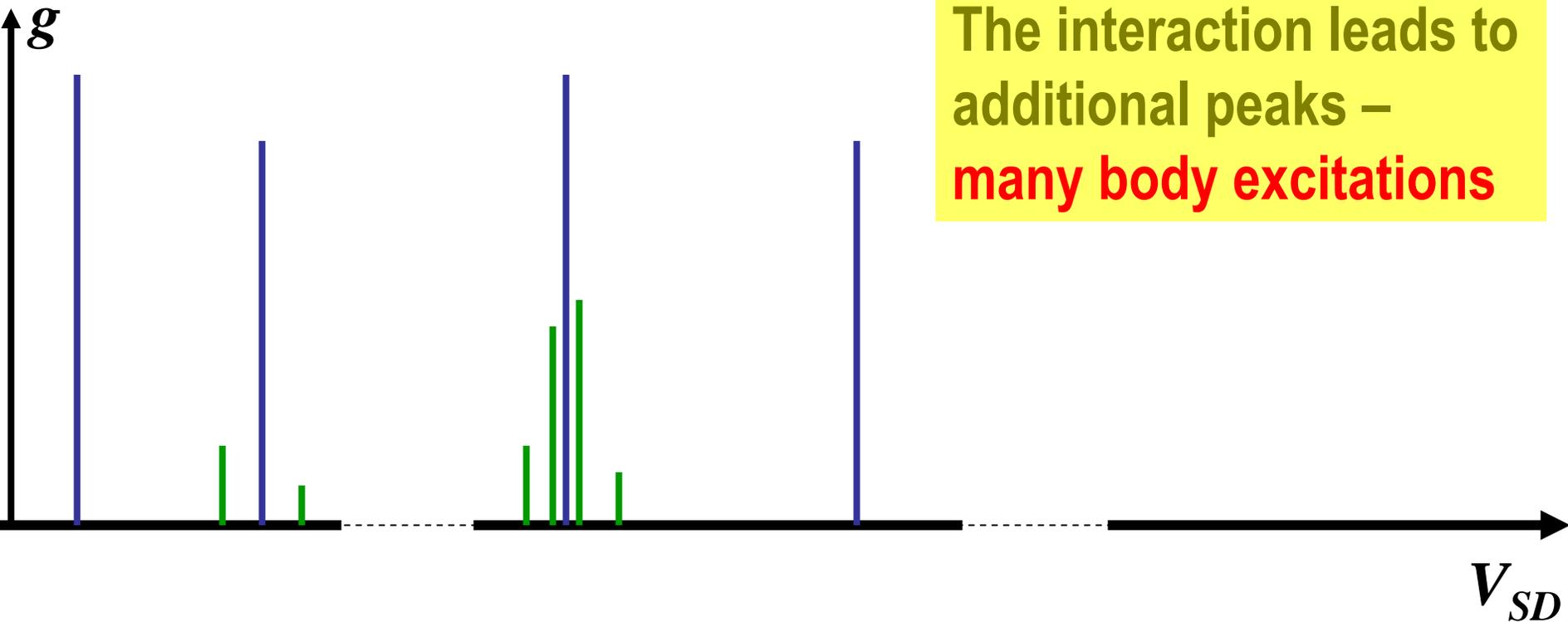
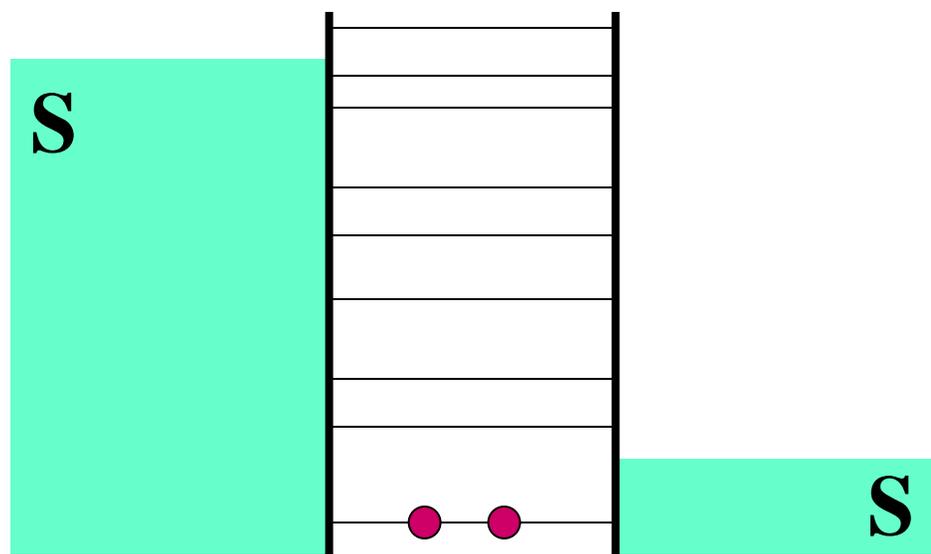
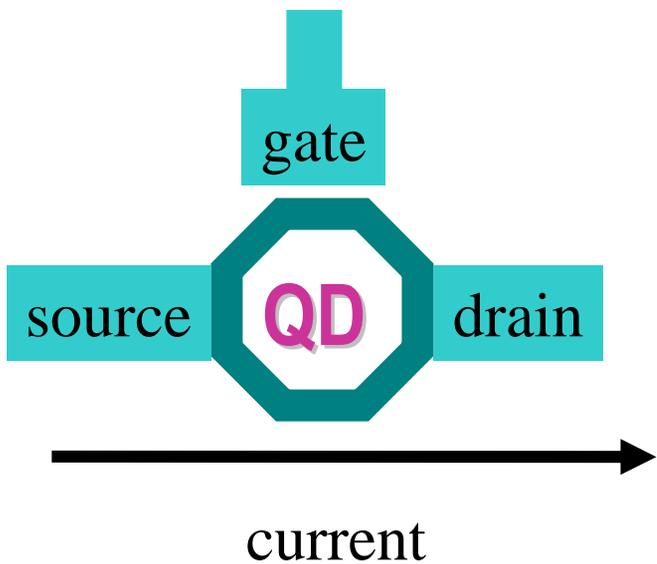


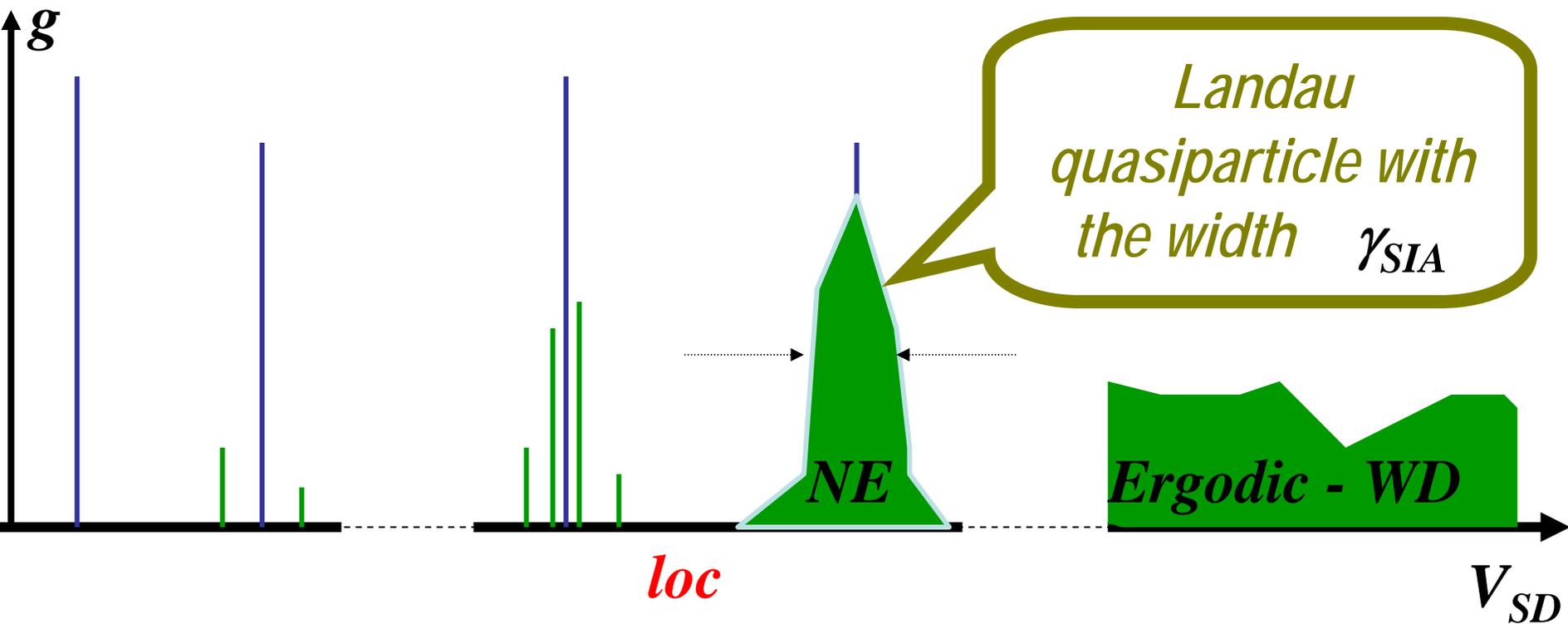
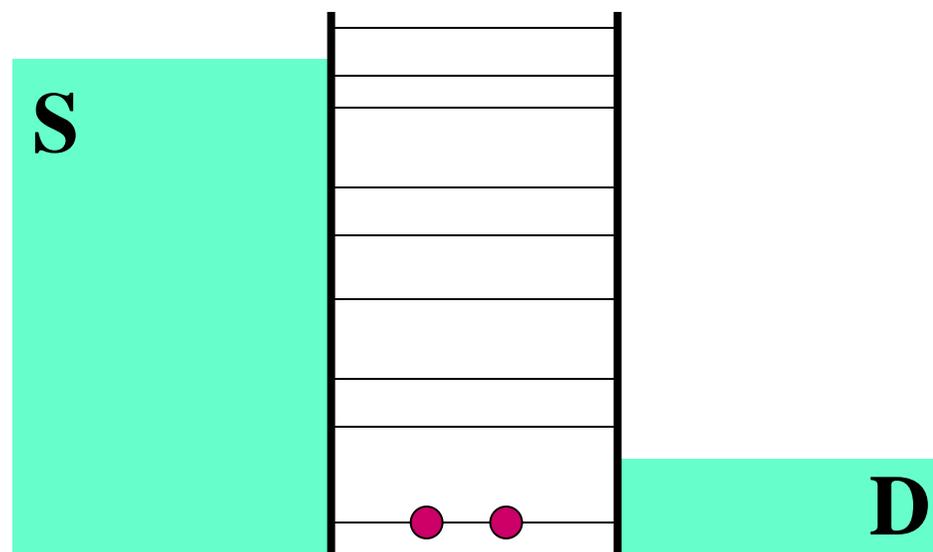
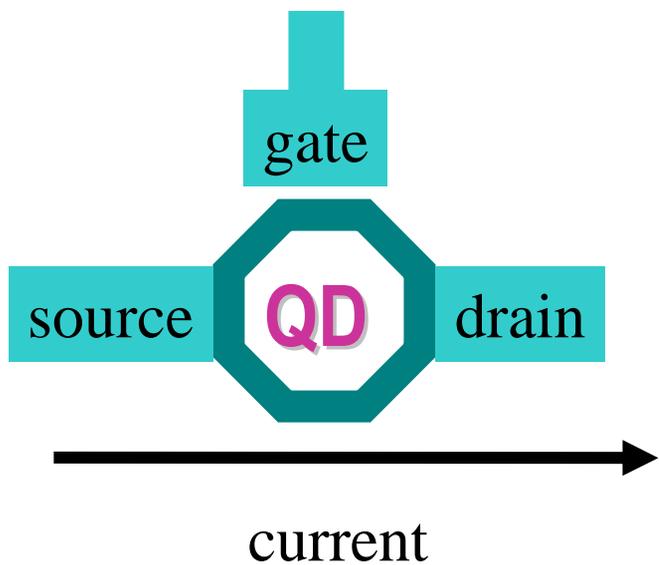


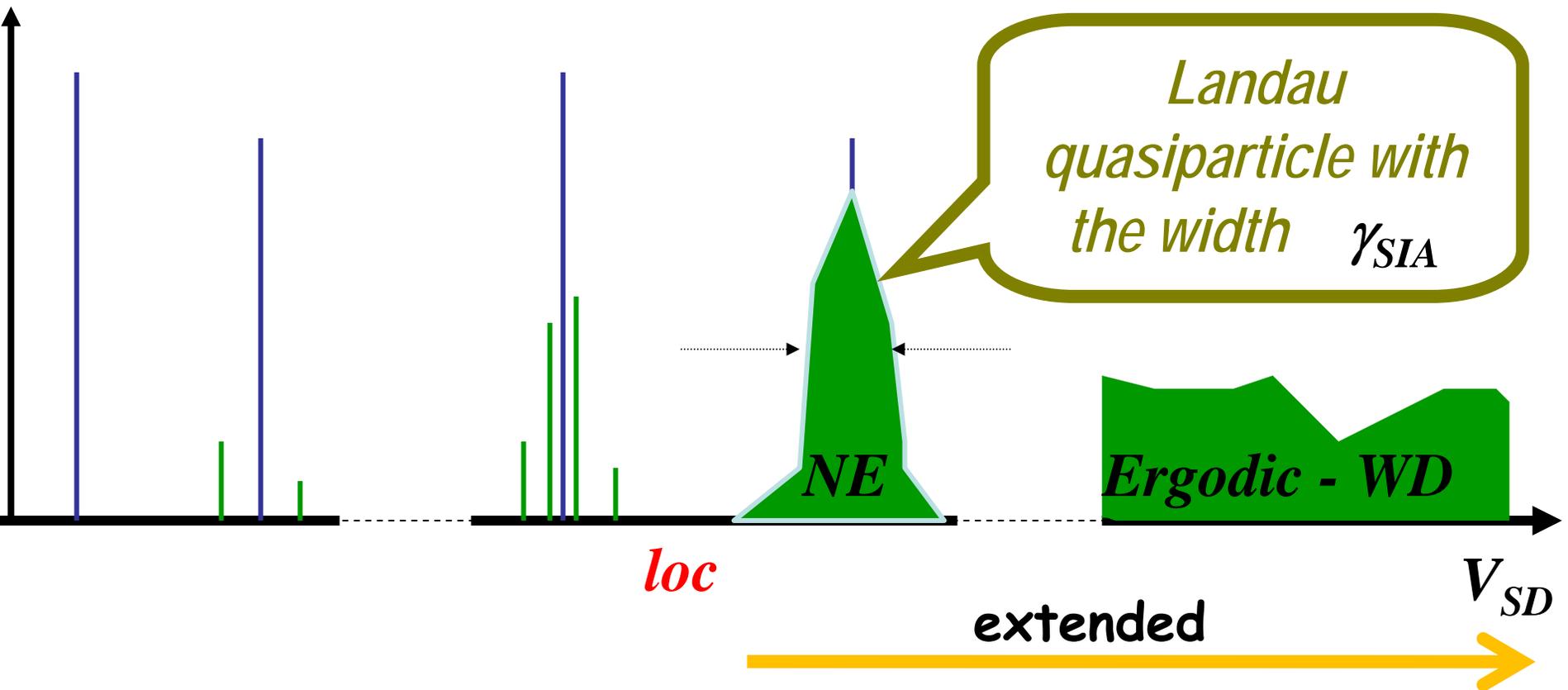
Resonance tunneling
Peaks



Inelastic cotunneling
Additional peak







Localized - **finite** # of the satellites

Extended - **infinite** # of the satellites

(for finite ε the number of the satellites is always finite)

Ergodic – nonergodic crossover!

Anderson Model on a Cayley tree

A selfconsistent theory of localization

R Abou-Chacra†, P W Anderson‡§ and D J Thouless†

† Department of Mathematical Physics, University of Birmingham, Birmingham, B15 2TT

‡ Cavendish Laboratory, Cambridge, England and Bell Laboratories, Murray Hill, New Jersey, 07974, USA

Received 12 January 1973

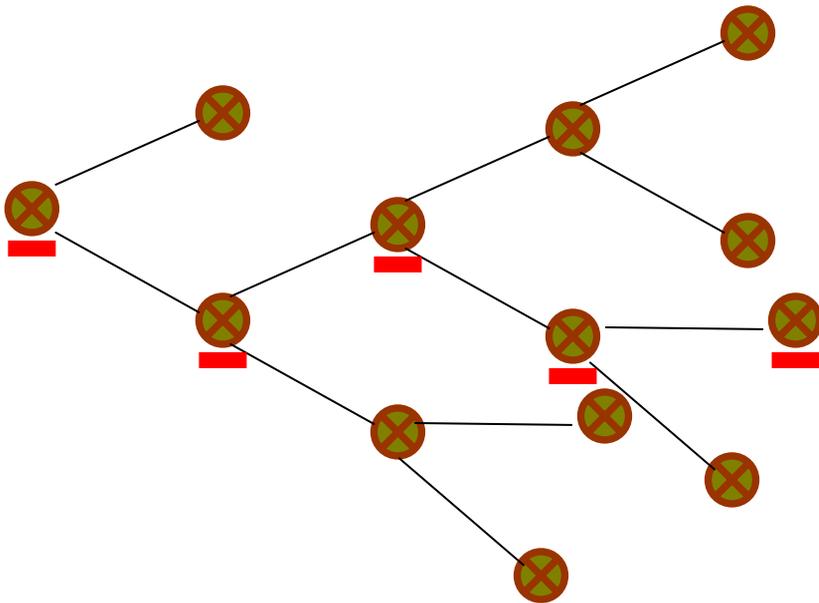
Abstract. A new basis has been found for the theory of localization of electrons in disordered systems. The method is based on a selfconsistent solution of the equation for the self energy in second order perturbation theory, whose solution may be purely real almost everywhere (localized states) or complex everywhere (nonlocalized states). The equations used are exact for a Bethe lattice. The selfconsistency condition gives a nonlinear integral equation in two variables for the probability distribution of the real and imaginary parts of the self energy. A simple approximation for the stability limit of localized states gives Anderson's 'upper limit approximation'. Exact solution of the stability problem in a special case gives results very close to Anderson's best estimate. A general and simple formula for the stability limit is derived; this formula should be valid for smooth distribution of site energies away from the band edge. Results of Monte Carlo calculations of the selfconsistency problem are described which confirm and go beyond the analytical results. The relation of this theory to the old Anderson theory is examined, and it is concluded that the present theory is similar but better.

Anderson Model on a Cayley tree

I, W

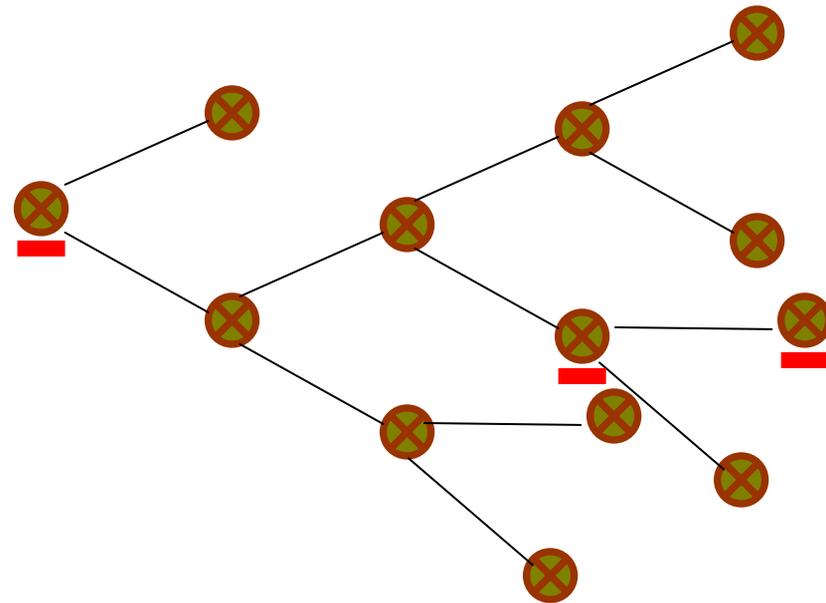
K – branching number

$$I_c \propto \frac{W}{K} \frac{1}{\ln K}$$



$$I = \frac{W}{K} +$$

Resonance at every generation



$$\frac{W}{K \ln K} < I < \frac{W}{K}$$

Sparse resonances

Definition: We will call a quantum state $|\mu\rangle$ **ergodic** if it occupies the number of sites N_μ on the Anderson lattice, which is proportional to the total number of sites N :

$$\frac{N_\mu}{N} \xrightarrow{N \rightarrow \infty} 0$$

nonergodic

$$\frac{N_\mu}{N} \xrightarrow{N \rightarrow \infty} \text{const} > 0$$

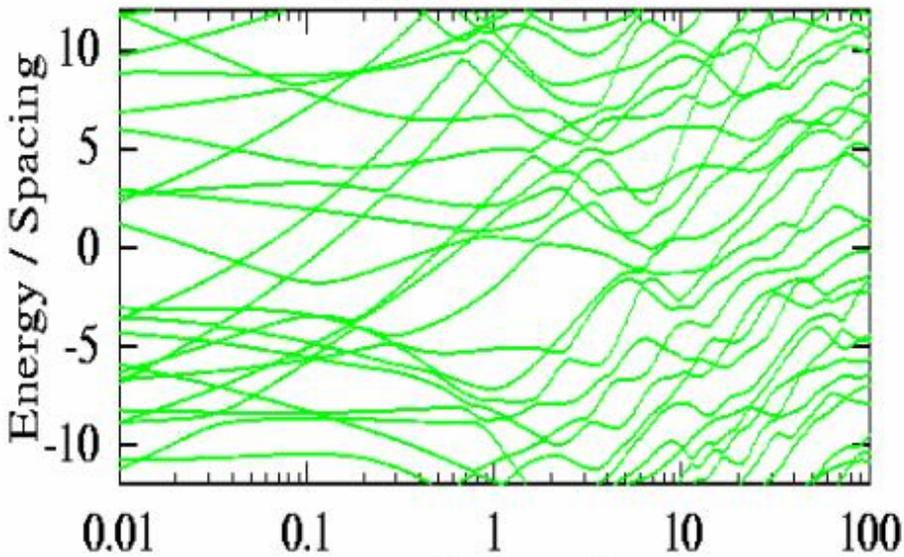
ergodic

Localized states are obviously not ergodic: $N_\mu \xrightarrow{N \rightarrow \infty} \text{const}$

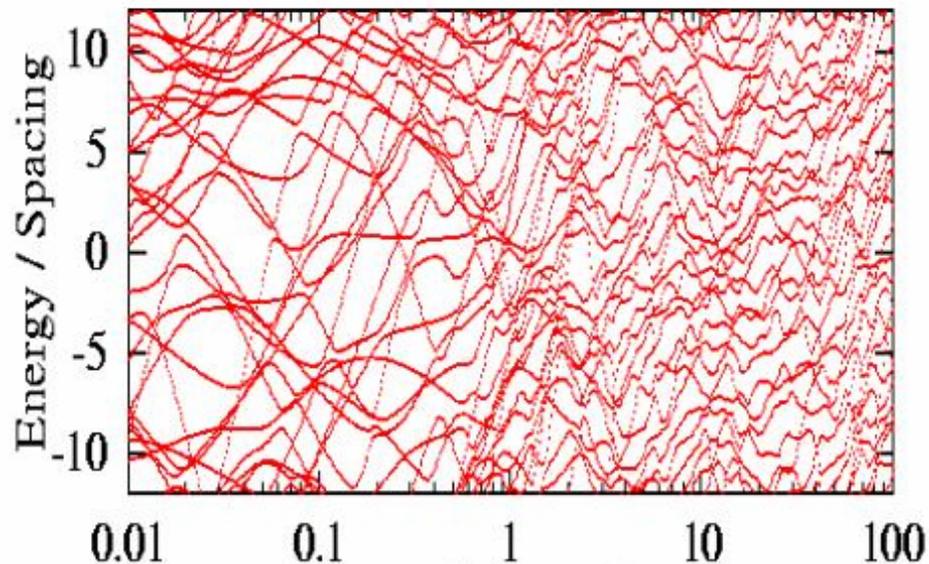
Q: Is each of the extended state ergodic ?

A: In **3D** probably yes

volume = 8 x 8 x 8



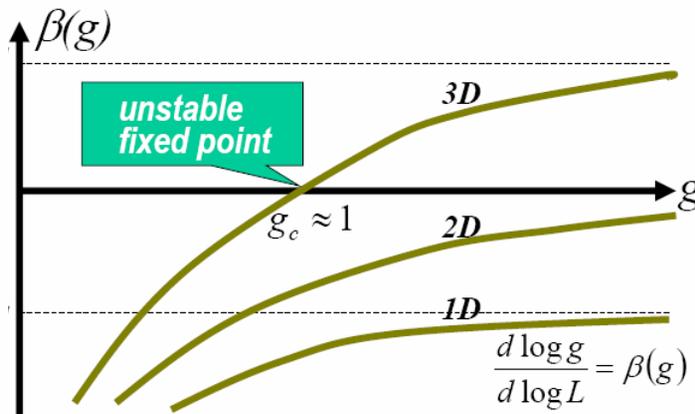
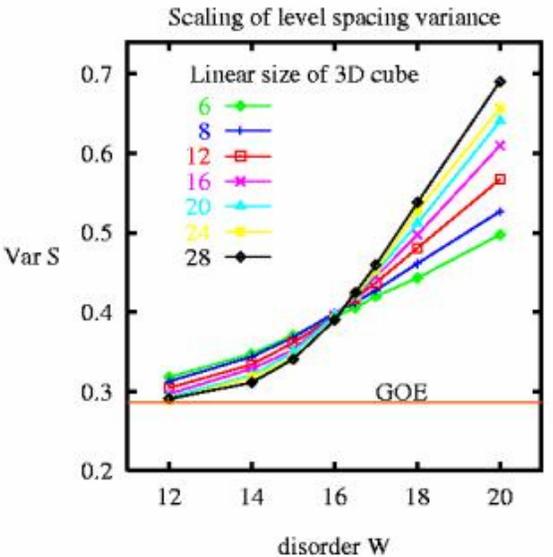
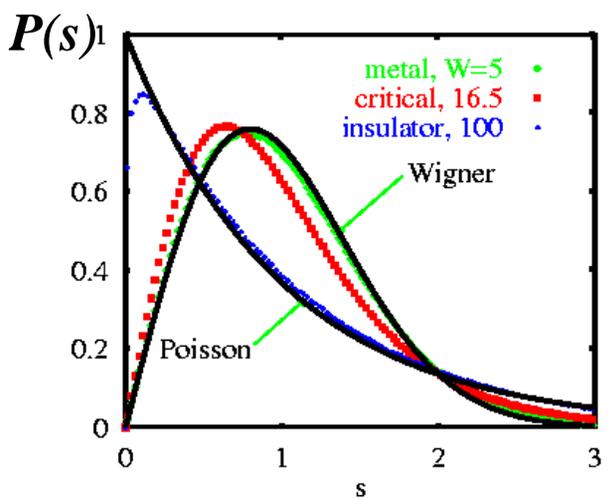
volume = 20 x 20 x 20



The bigger the system the sharper the transition

Thouless conductance g

In 3D –only critical point. Metal is ergodic
Corresponds to the scaling theory



In **3D** – the transition is sharp in the limit, when system size tends to infinity, only critical point. **Extended** states are always **ergodic** states. This follows from the scaling theory.

This is doubtful already in **4D** : variance of the mesoscopic fluctuations

$$\langle (\delta\sigma)^2 \rangle \propto \int \frac{d\vec{q}}{q^4}$$

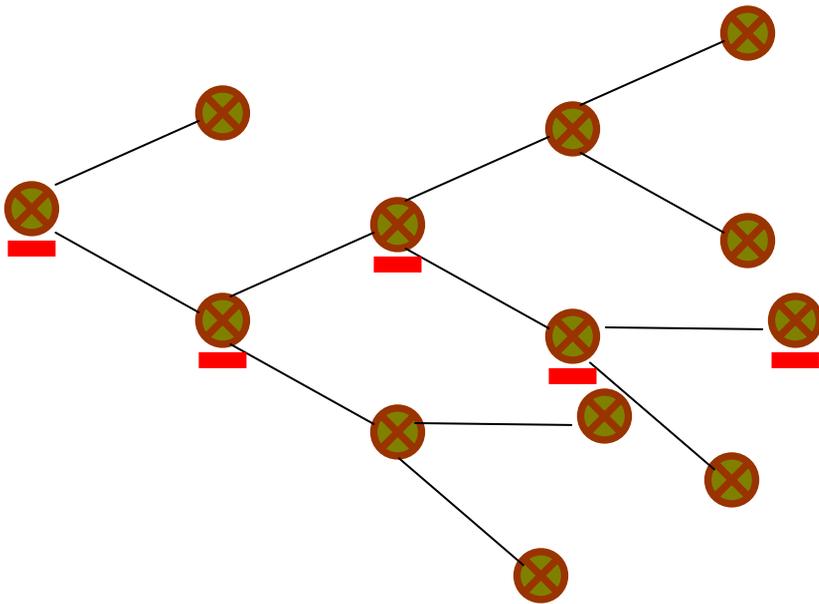
shows ultraviolet divergence.

For very **high dimensions** close to the transition the extended states are almost for sure **nonergodic** !

nonergodic states

Such a state occupies infinitely many sites of the Anderson model but still negligible fraction of the total number of sites

Example of nonergodicity: Anderson Model Cayley tree:



transition

K - branching number

$$I_c = \frac{W}{K \ln K}$$

ergodicity

$$I_{erg} \sim W$$

crossover

$$n \propto \ln N$$

$$I < W / (K \ln K)$$

Resonance is typically far

$$n = \text{const}$$

localized

$$W / K > I > W / (K \ln K)$$

Resonance is typically far

$$n \sim \ln N$$

nonergodic

$$W > I > W / K$$

Typically there is a resonance at every step

$$n \sim \ln N$$

nonergodic

$$I > W$$

Typically each pair of nearest neighbors is at resonance

$$n \sim N$$

ergodic

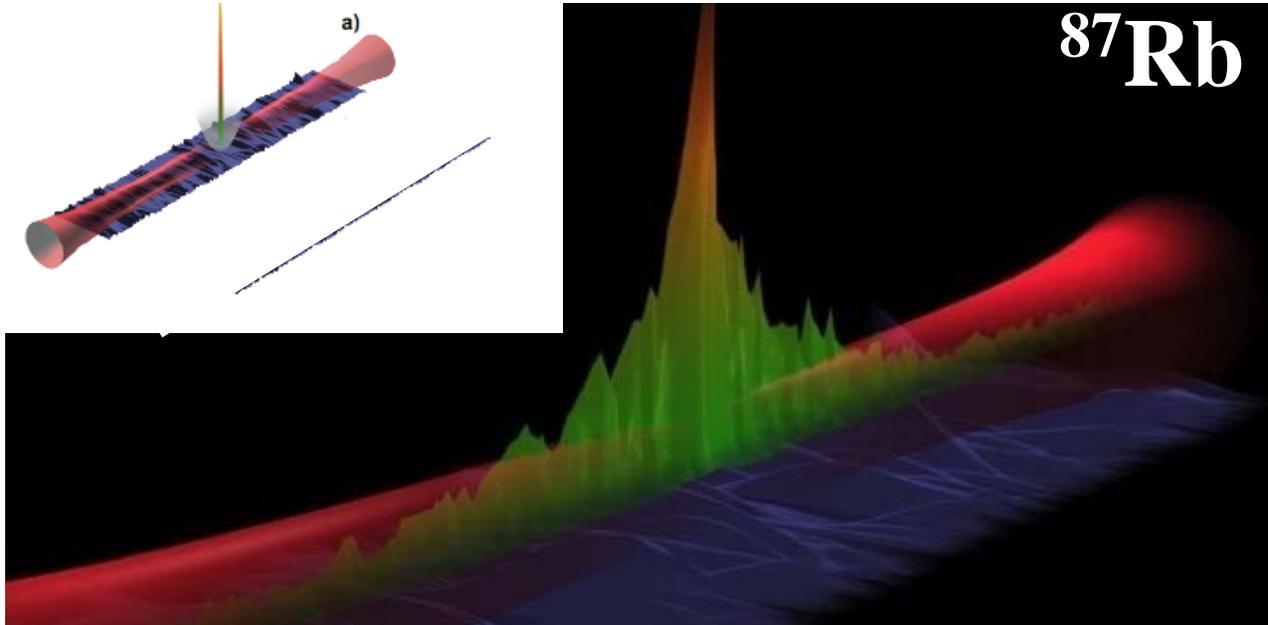
Lecture 2.

3. Many-Body localization

Experiment

Cold Atoms

J. Billy, V. Josse, Z. Zuo, A. Bernard, B. Hambrecht, P. Lukan1, D. Clément, L. Sanchez-Palencia, P. Bouyer & A. Aspect, “Direct observation of Anderson localization of matter-waves in a controlled Disorder” *Nature* 453, 891-894 (12 June 2008)



L. Fallani, C. Fort, M. Inguscio: “Bose-Einstein condensates in disordered potentials” arXiv:0804.2888

Q: What about electrons ?

A: Yes,... but electrons interact with each other

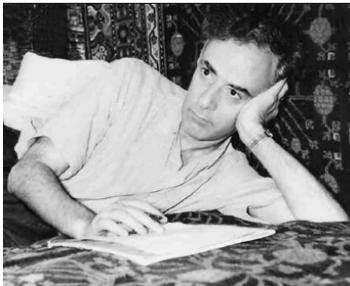
strength of disorder $1/g$

Strong disorder + moderate interactions



More or less understand

strength of the interaction r_s

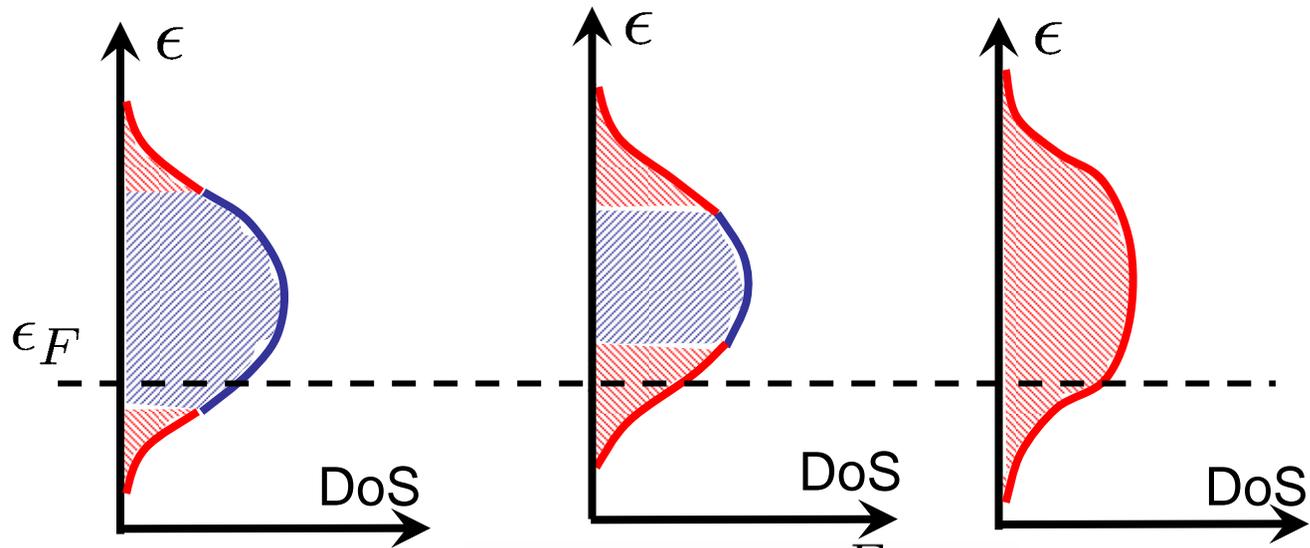


Fermi liquid

Wigner crystal



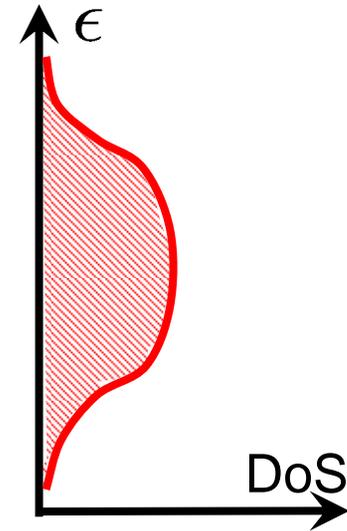
Temperature dependence of the conductivity of noninteracting electrons



$$\sigma(T \rightarrow 0) > \sigma(T) \propto e^{-\frac{E_c - \epsilon_F}{T}} \quad \sigma(T) = 0$$

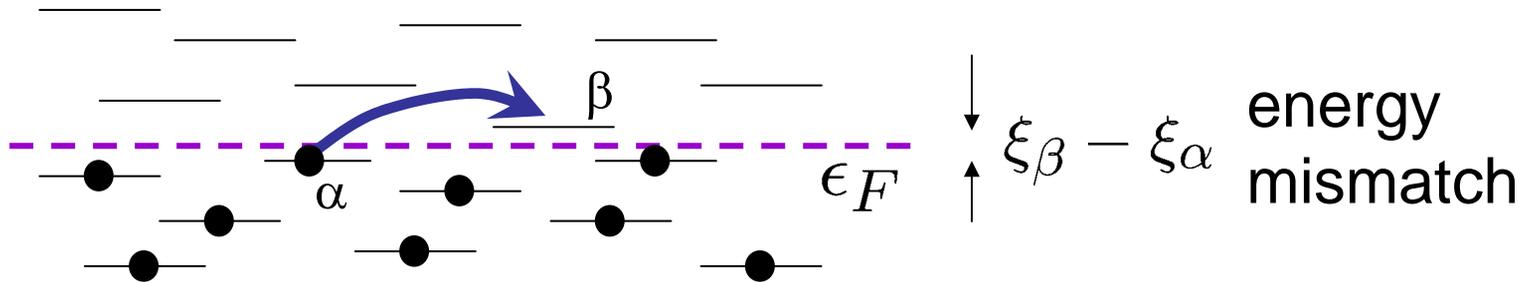
Temperature dependence of the conductivity one-electron picture

Assume that all the
states
are **localized**



$$\sigma(T) = 0 \quad \forall T$$

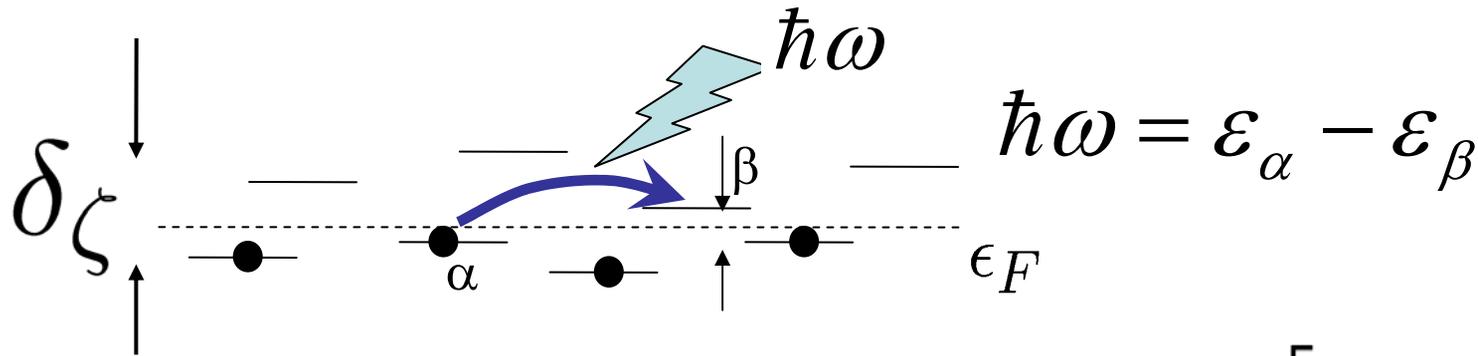
Inelastic processes transitions between localized states



$$T = 0 \quad \Rightarrow \quad \sigma = 0$$

$$T > 0 \quad \Rightarrow \quad \sigma = ?$$

Phonon-assisted hopping



Variable Range Hopping
N.F. Mott (1968)

$$\sigma(T) \propto T^\gamma \exp \left[- \left(\frac{\delta\zeta}{T} \right)^{\frac{1}{d+1}} \right]$$

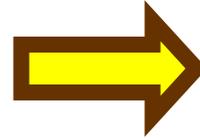
Mechanism-dependent prefactor

Optimized phase volume

Any bath with a continuous spectrum of **delocalized excitations** down to $\omega = 0$ will give the same exponential

Common belief:

Anderson
Insulator
weak e-e
interactions



Phonon assisted
hopping transport

Can hopping conductivity
exist **without phonons**



- Given:**
1. All one-electron states are localized
 2. Electrons interact with each other
 3. The system is closed (no phonons)
 4. Temperature is low but finite

Find: DC conductivity $\sigma(T, \omega=0)$
(**zero** or **finite**?)

Q: Can e-h pairs lead to **phonon-less** variable range hopping in the same way as phonons do ?

Q: Can e-h pairs lead to **phonon-less** variable range hopping in the same way as phonons do ?

A#1: Sure

1. Recall phonon-less AC conductivity:
N.F. Mott (1970)

$$\sigma(\omega) = \frac{e^2 \zeta_{loc}^{d-2}}{\hbar} \left(\frac{\hbar\omega}{\delta\zeta} \right)^2 \ln^{d+1} \left| \frac{\delta\zeta}{\hbar\omega} \right|$$

2. FDT: there should be Nyquist noise
3. Use this noise as a bath instead of phonons
4. Self-consistency (whatever it means)

Q: Can e-h pairs lead to **phonon-less** variable range hopping in the same way as phonons do ?

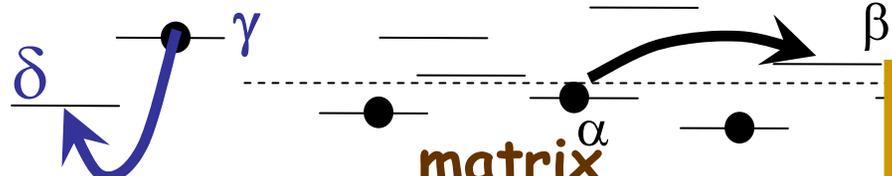
A#1: Sure

A#2: No way (L. Fleishman, P.W. Anderson (1980))
 Except maybe Coulomb interaction in 3D

$$\sigma(\omega) \simeq \frac{e^2 \zeta_{loc}^{d-2}}{\hbar} \left(\frac{\hbar\omega}{\delta\zeta} \right)^2 \ln^{d+1} \left| \frac{\delta\zeta}{\hbar\omega} \right|$$

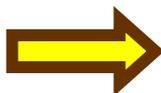
is contributed by rare resonances

$R \rightarrow \infty$



$$\omega = \xi_\beta - \xi_\alpha = \xi_\gamma - \xi_\delta$$

matrix element vanishes



$$\sigma(T) \propto 0 \times \exp \left[- \left(\frac{\delta\zeta}{T} \right)^{\frac{1}{d+1}} \right]$$

$R \rightarrow \infty$



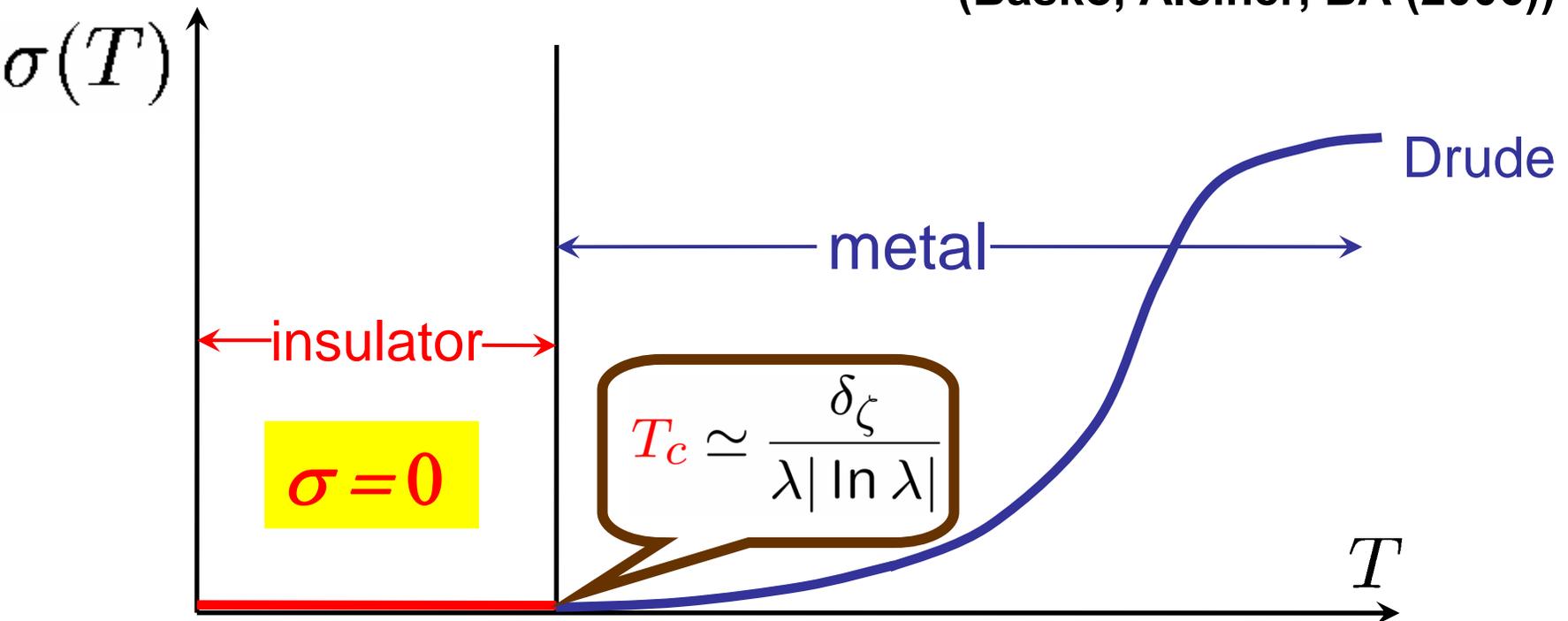
Q: Can e-h pairs lead to **phonon-less** variable range hopping in the same way as phonons do ?

A#1: Sure

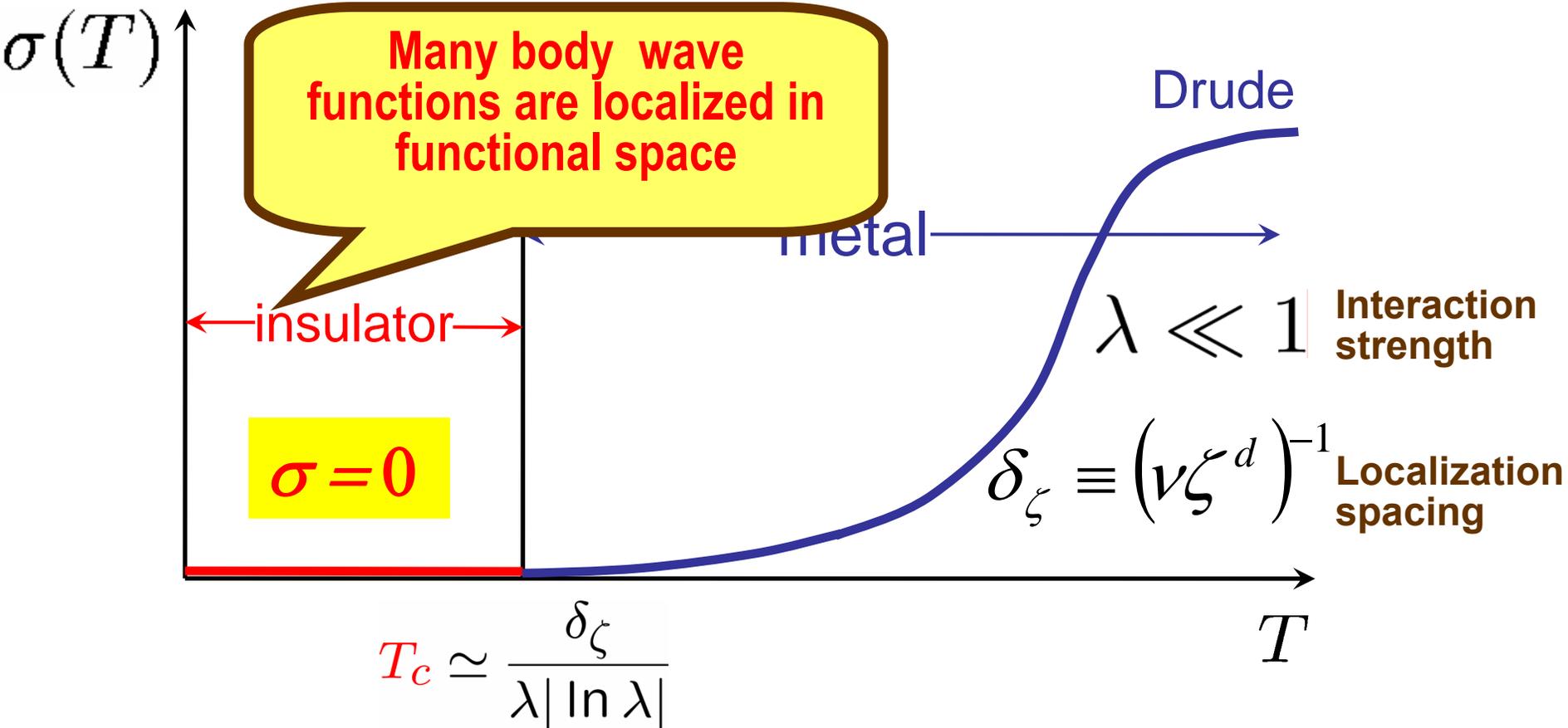
A#2: No way (L. Fleishman, P.W. Anderson (1980))

A#3: Finite temperature **Metal-Insulator Transition**

(Basko, Aleiner, BA (2006))



Finite temperature Metal-Insulator Transition



D.M. Basko, I.L. Aleiner & BA,
 Annals of Phys. 321, 1126 (2006)
 cond-mat/0506617 v1 23 Jun 2005

Main postulate of the Gibbs Statistical Mechanics - equipartition (microcanonical distribution):

In the equilibrium all states with the same energy are realized with the same probability.

Without interaction between particles the equilibrium would never be reached - each one-particle energy is conserved.

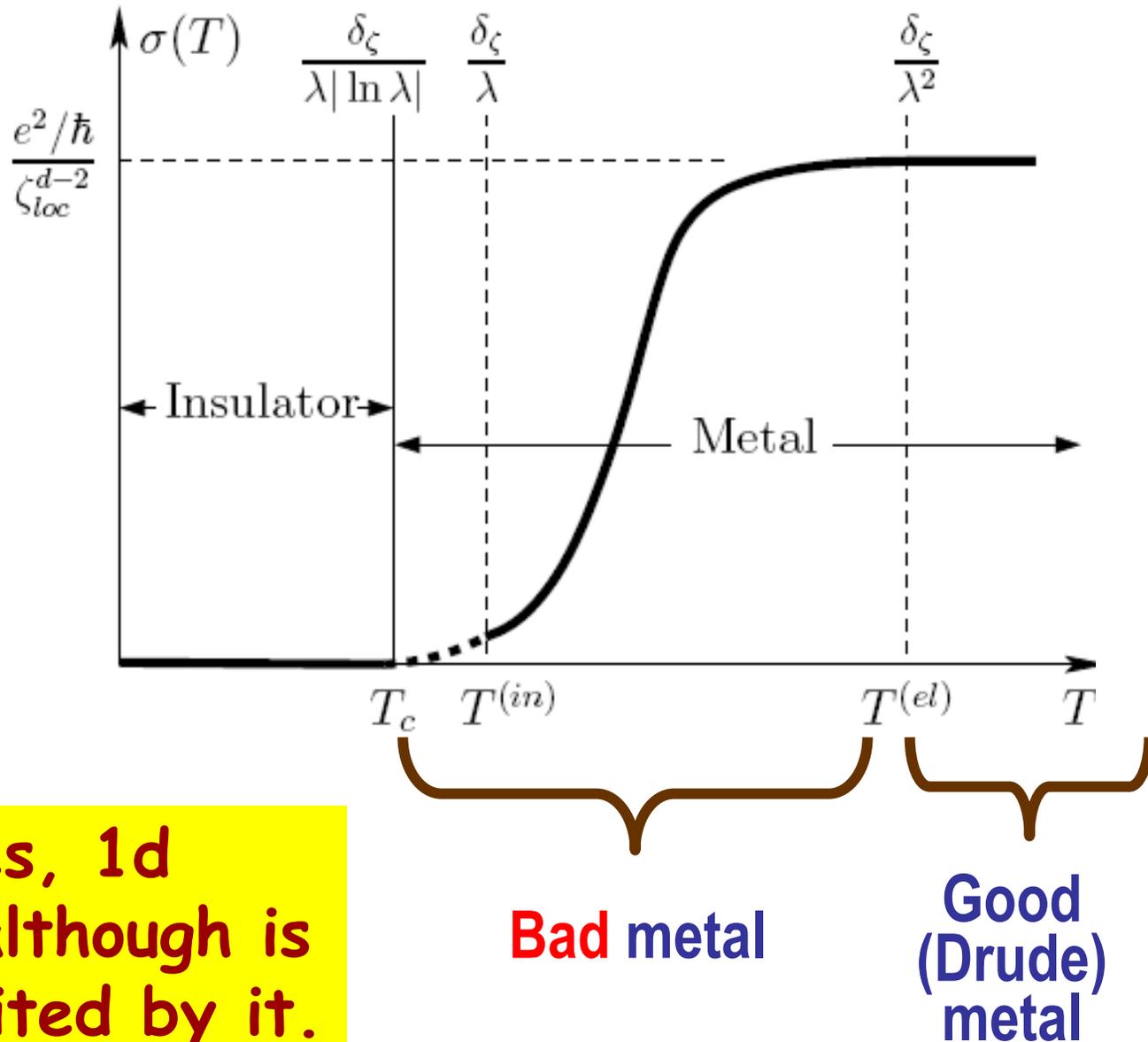
Common believe: Even weak interaction should drive the system to the equilibrium.

Is it always true?

Many-Body Localization:

1. It is not localization in a real space!
2. There is **no relaxation** in the localized state in the same way as wave packets of localized wave functions do not spread.

Finite temperature Metal-Insulator Transition



Includes, 1d case, although is not limited by it.

There can be no finite temperature phase transitions in one dimension!

This is a dogma.

Justification:

1. Another dogma: every phase transition is connected with the appearance (disappearance) of a long range order

2. Thermal fluctuations in 1d systems destroy any long range order, lead to exponential decay of all spatial correlation functions and thus make phase transitions impossible

There can be **no** finite temperature phase transitions **connected to any long range order** in one dimension!

Neither metal nor Insulator are characterized by any type of long range order or long range correlations.

Nevertheless these two phases are distinct and the transition takes place at finite temperature.