Многочастичная Локализация Андерсона

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Летняя школа Фонда Дмитрия Зимина "Династия" "Актуальные проблемы теории конденсированного состояния" 4 – 14 июля 2010г.



Previous Lectures:

- 1. Anderson Localization as Metal-Insulator Transition Anderson model. Localized and extended states. Mobility edges.
- 2. Spectral Statistics and Localization. Poisson versus Wigner-Dyson. Anderson transition as a transition between different types of spectra. Thouless conductance
- 3 Quantum Chaos and Integrability and Localization. Integrable ⇔ Poisson; Chaotic ⇔ Wigner-Dyson
- Anderson transition beyond real space
 Localization in the space of quantum numbers.
 KAM ⇔ Localized; Chaotic ⇔ Extended

Previous Lectures:

- 4. Anderson Localization and Many-Body Spectrum in finite systems. BA, Gefen, Kamenev & Levitov. PRL 1996
 - Q: Why nuclear spectra are statistically the same as RM spectra Wigner-Dyson?
 - A: Delocalization in the Fock space.
 - Q: What is relation of exact Many Body states and quasiparticles?
 - A: Quasiparticles are "wave packets"
 - 5. Anderson Model and Localization on the Cayley tree Ergodic and Nonergodic extended states Wigner – Dyson statistics requires ergodicity!
 - 6. Phononless conductivity

Definition: We will call a quantum state $|\mu\rangle$ ergodic if it occupies the number of sites N_{μ} on the Anderson lattice, which is proportional to the total number of sites N:

$$\frac{N_{\mu}}{N} \xrightarrow[N \to \infty]{} 0$$

nonergodic

$$\frac{N_{\mu}}{N} \xrightarrow[N \to \infty]{} const > 0$$

ergodic



Such a state occupies infinitely many sites of the Anderson model but still negligible fraction of the total number of sites



 $I < W / (K \ln K)$

Resonance is typically far $N_{\mu} = const$ localized

 $W/K > I > W/(K \ln K)$ Resonance is typically far $N_{\mu} \sim \ln N$ nonergodic

$$W > I > W/K$$

Typically there is a $N_{\mu} \sim \ln N$ nonergodic resonance at every step

ergodic

I > WTypically each pair of nearest $N_{\mu} \sim N$ neighbors is at resonance



1. Many-Body localization

Phonon-assisted hopping



 \mathcal{S}_{ζ} is mean localization energy spacing - typical energy separation between two localized states, which strongly overlap

Any bath with a continuous spectrum of delocalized excitations down to $\omega = 0$ will give the same exponential

In disordered metals phonons limit the conductivity, but at low temperatures one can evaluate ohmic conductivity without phonons, i.e. without appealing to any bath (Drude formula)!

A bath is needed only to stabilize the temperature of electrons.

Q1: Is the existence of a bath crucial ? even for ohmic conductivity? ? Can a system of electrons left alone relax to the thermal equilibrium without any bath?

- Main postulate of the Gibbs Statistical Mechanics – equipartition (microcanonical distribution):
- In the equilibrium all states with the same energy are realized with the same probability.
- Without interaction between particles the equilibrium would never be reached each one-particle energy is conserved.
- Common believe: Even weak interaction should drive the system to the equilibrium. Is it always true?
- No external bath!

Many-Body Localization:

 It is not localization in a real space!
 There is no relaxation in the localized state in the same way as wave packets of localized wave functions do not spread.

Fermi Pasta Ulam 1955

Will a nonlinear system (system of interacting particles) completely isolated from the outside world evolve to a microcanonical distribution (reach equipartition).



Anderson 1958

Will a density fluctuation (a wave packet) in a system of quantum particles in the presence of disorder dissolve in the diffusive way.



Common Anderson Insulator weak e-e interactions

belief:



Can hopping conductivity exist without phonons

Given: 1. All one-electron states are localized

- Electrons interact with each other
- The system is closed (no phonons) 3.
- 4. Temperature is low but finite
- Find: DC conductivity $\sigma(T, \omega = 0)$ (zero or finite?)

Q: Can e-h pairs lead to phonon-less variable range hopping in the same way as phonons do ?

A#1: Sure

A#2: No way (L. Fleishman. P.W. Anderson (1980)) Except maybe Coulomb interaction in 3D

Finite temperature Metal-Insulator Transition



D.M. Basko, I.L. Aleiner & BA, Annals of Phys. 321, 1126 (2006)

Main postulate of the Gibbs Statistical Mechanics – equipartition (microcanonical distribution):

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Finite temperature Metal-Insulator Transition





There can be no phase transitions at a finite temperature in 1D Van Howe, Landau



Thermal fluctuation destroy any long range correlations in 1D

$T \neq 0$ Normal fluid - Insulator Phase Transition:

Neither normal fluids (metals) nor glasses (insulators) exhibit long range correlations True phase transition: still singularities in transport (rather than thermodynamic) properties

Conventional Anderson Model

•one particle, •one level per site, •onsite disorder •nearest neighbor hoping Basis: $|i\rangle$, i labels sites

Hamiltonian:
$$H = H_0 + V$$

 $\hat{T} = \hat{V} = \hat{V} = \hat{V}$

$$\hat{H}_{0} = \sum_{i} \varepsilon_{i} |i\rangle\langle i| \quad \hat{V} = \sum_{i,j=n.n.} I|i\rangle\langle j|$$

0d system with interactions



Many body Anderson-like Model



Many body Anderson-like Model

many particles, **Basis**: $|\mu\rangle$ several levels per site, $\mu = \left\{ n_i^{\alpha} \right\}$ spacing δ_{c} onsite disorder α labels levels labels Local interaction sites occupation Hamiltonian: $\hat{H}_{0} = \sum E_{\mu} |\mu\rangle \langle\mu|_{\mathbf{V}} \hat{n}_{i}^{\alpha} = 0,1$ numbers $\widehat{H} = \widehat{H}_0 + \widehat{V}_1 + \widehat{V}_2$ $\hat{V}_{1} = \sum I |\mu\rangle \langle v(\mu)|$ $\left|\nu\left(\mu\right)\right\rangle = \left|...,n_{i}^{\alpha}-1,...,n_{j}^{\beta}+1,..\right\rangle, \quad i,j=n.n.$ U $\hat{V}_2 = \sum U |\mu\rangle \langle \eta(\mu)|$ $\mu,\eta(\mu)$ $|\eta(\mu)\rangle = |..., n_i^{\alpha} - 1, ..., n_i^{\beta} - 1, ..., n_i^{\gamma} + 1, ..., n_i^{\delta} + 1, ...\rangle$

Conventional Anderson Model **Basis:** $|i\rangle$ *i* labels sites $\hat{H} = \sum \varepsilon_i \left| i \right\rangle \left\langle i \right| +$ $\sum I |i\rangle\langle j|$ i, j=n.n.

Many body Andersonlike Model

Basis:
$$|\mu\rangle$$
, $\mu = \{n_i^{\alpha}\}$

$$i \underset{\mu}{\text{labels}} \alpha \underset{\mu}{\text{labels}} \alpha \underset{\mu}{\text{labels}}$$
$$\hat{H} = \sum_{\mu} E_{\mu} |\mu\rangle \langle \mu| +$$

$$\sum_{\mu,\nu(\mu)} I |\mu\rangle \langle \nu(\mu)| +$$

$$\sum_{\mu,\eta(\mu)} U ig| \mu ig
angle ig\langle \etaig(\muig) ig|$$

 $n_i^{\alpha} = 0,1$ occupation numbers

N sites

M

one-particle levels per site

Two types of "nearest neighbors":

bes of $|\nu(\mu)\rangle = |.., n_i^{\alpha} - 1, .., n_j^{\beta} + 1, ..\rangle, \quad i, j = n.n.$ ot ors": $|\eta(\mu)\rangle = |.., n_i^{\alpha} - 1, .., n_i^{\beta} - 1, .., n_i^{\gamma} + 1, .., n_i^{\delta} + 1, ..\rangle$



Probability Distribution of Γ =Im Σ



Stability of the insulating phase: NO spontaneous generation of broadening

$$\Gamma_{\alpha}(\varepsilon) = 0$$

 $\mathcal{E} \rightarrow \mathcal{E} + in$

$$\frac{\Gamma}{\left(\varepsilon-\xi_{\alpha}\right)^{2}+\Gamma^{2}} \to \pi \delta(\varepsilon-\xi_{\alpha}) + \frac{\Gamma}{\left(\varepsilon-\xi_{\alpha}\right)^{2}}$$

After *n* iterations of the equations of the Self Consistent Born Approximation

$$P_n(\Gamma) \propto \frac{\eta}{\Gamma^{3/2}} \left(const \frac{\lambda T}{\delta_{\zeta}} ln \frac{1}{\lambda} \right)^n$$

first $n \to \infty$ then $\eta \to 0$

 $(\ldots) < 1 - \text{insulator is stable }!$

Stability of the metallic phase: Finite broadening is self-consistent

•
$$P(\Gamma) = \frac{1}{\sqrt{2\pi\langle\delta\Gamma^2\rangle}} \exp\left[-\frac{(\Gamma - \langle\Gamma\rangle)^2}{2\langle\delta\Gamma^2\rangle}\right]$$

 $\sqrt{\langle\delta\Gamma^2\rangle} \ll \langle\Gamma\rangle$ as long as $T \gg 1$

- $\langle \Gamma \rangle \ll \delta_{\zeta}$ (levels well resolved)
- quantum kinetic equation for transitions between localized states

$$\sigma(T) \propto \lambda^2 T^{lpha}$$

(model-dependent)



good metal

$$\begin{split} \sigma(T \gg \sqrt{\delta_{\zeta} T_{el}}) &\approx \sigma_{\infty} \left(1 - \frac{2}{3} \frac{\delta_{\zeta} T_{el}}{T^2} \right); \\ \kappa(T \gg \sqrt{\delta_{\zeta} T_{el}}) &\approx \kappa_{\infty}(T) \left[1 - \left(\frac{14}{5} - \frac{24}{\pi^2} \right) \frac{\delta_{\zeta} T_{el}}{T^2} \right] \\ \sigma_{\infty} &\equiv \frac{2\pi e^2 I^2 \zeta_{loc}^{2-d}}{\hbar} , \quad \kappa_{\infty}(T) \equiv \frac{2\pi^3 e^2 T I^2 \zeta_{loc}^{2-d}}{3\hbar}. \end{split}$$

$$T^{el} >> T >> T^{(in)} = \frac{\delta_{\zeta}}{6\pi\lambda}$$

$$\sigma(T \ll \sqrt{\delta_{\zeta} T_{el}}) = \sigma_{\infty} \frac{\pi}{4} \left(\frac{T^2}{\delta_{\zeta} T_{el}} \right),$$
$$\kappa(T \ll \sqrt{\delta_{\zeta} T_{el}}) = \kappa_{\infty}(T) \frac{48 G^2}{\pi^3} \left(\frac{T^2}{\delta_{\zeta} T_{el}} \right)$$





Physics of the transition: cascades

Conventional wisdom: For phonon assisted hopping one phonon – one electron hop



It is maybe correct at low temperatures, but the higher the temperature the easier it becomes to create e-h pairs.

Therefore with increasing the temperature the typical number of pairs created n_c (i.e. the number of hops) increases. Thus phonons create cascades of hops.

Size of the cascade $n_c \iff$ "localization length"

Physics of the transition: cascades

- Conventional wisdom: For phonon assisted hopping one phonon – one electron hop
- It is maybe correct at low temperatures, but the higher the temperature the easier it becomes to create e-h pairs.
- Therefore with increasing the temperature the typical number of pairs created n_c (i.e. the number of hops) increases. Thus phonons create cascades of hops.
- At some temperature $T = T_c$ $n_c(T) \rightarrow \infty$.
- This is the critical temperature T_c . Above T_c one phonon creates infinitely many pairs, i.e., the charge transport is sustainable without phonons.

Many-body mobility edge



Metallic States












What about experiment?

1. Problem: there are no solids without phonons



2. Cold gases look like ideal systems for studying this phenomenon.

F. Ladieu, M. Sanquer, and J. P. Bouchaud, Phys. Rev.B 53, 973 (1996)

G. Sambandamurthy, L. Engel, A. Johansson, E. Peled & D. Shahar, Phys. Rev. Lett. 94, 017003 (2005).

M. Ovadia, B. Sacepe, and D. Shahar, PRL (2009).

V. M. Vinokur, T. I. Baturina, M. V. Fistul, A. Y.Mironov, M. R. Baklanov, & C. Strunk, Nature 452, 613 (2008)

S. Lee, A. Fursina, J.T. Mayo, C. T. Yavuz, V. L. Colvin, R. G. S. Sofin, I. V. Shvetz and D. Natelson, Nature Materials v 7 (2008)



YSi

FeO₄ magnetite



$$\frac{\partial I_{dc}}{\partial V} \propto \exp\left(-\frac{T_0}{T}\right)$$

Arrhenius law

M. Ovadia, B. Sacepe, and D. Shahar Kravtsov, Lerner, Aleiner & BA:

Switches \Leftarrow Bistability \Leftarrow Electrons are overheated: Low resistance => high Joule heat => high el. temperature High resistance => low Joule heat => low el. temperature

Electron temperature versus bath temperature





Experimental bistability diagram (Ovadia, Sasepe, Shahar, 2008)



Kravtsov, Lerner, Aleiner & BA: Switches Bistability Electrons are overheated: Low resistance => high Joule heat => high el. temperature High resistance => low Joule heat => low el. temperature

M. E. Gershenson, Yu. B. Khavin, D. Reuter, P. Schafmeister, and A. D. Wieck Phys. Rev. Lett. 85, 1718 (2000).



 $Si \delta$ - doped GaAs structure





Power:
$$P = 3.7 \times 10^{-9} [W] (T_e^{4.5} - T^{4.5})$$

Phonon-assisted variable range hopping



Low temperature anomalies

Voltage dependence of the conductance in the High Resistance phase

Theory :
$$G(V_{HL})/G(V \rightarrow 0) < e$$

Experiment: this ratio can exceed 30



Low temperature anomalies

- 1. Low T deviation from the Ahrenius law
- "Hyperactivated resistance in TiN films on the insulating side of the disorder-driven superconductor-insulator transition"



T. I. Baturina, A.Yu. Mironov, V.M. Vinokur, M.R. Baklanov, and C. Strunk,

2009 Also

D. Shahar and Z. Ovadyahu, Phys. Rev. B (1992).
V. F. Gantmakher, M.V. Golubkov, J.G. S. Lok, A.K. Geim, JETP (1996)].
G. Sambandamurthy, L.W. Engel, A. Johansson, and D.Shahar, Phys. Rev. Lett. (2004).



4. Many-Body Localization

1D bosons + disorder

1D Localization

Exactly solved: Gertsenshtein & Vasil'ev, all states are localized 1959

Conjectured:

Mott & Twose, 1961

1-particle problem → correct for as for fermions Bosons without disorder



•Bose-condensate even at weak enough repulsion

•Even in 1D case at T=0 - "algebraic superfluid"

T

•Finite temperature - Normal fluid



Localization of cold atoms

Billy et al. "Direct observation of Anderson localization of matter waves in a controlled disorder". Nature <u>453</u>, 891-894 (2008).



Roati et al. "Anderson localization of a non-interacting Bose-Einstein condensate". Nature <u>453</u>, 895-898 (2008).

No interaction !

Thermodynamics of ideal Bose-gas in the presence of disorder is a pathological problem: all particles will occupy the localized state with the lowest energy





Weakly interacting bosons

•Bose - Einstein condensation

•Bose-condensate even at weak enough repulsion

•Even in 1D case at T=0 - "algebraic superfluid"



T=0 Superfluid – Insulator Quantum Phase Transition



E. Altman, Y. Kafri, A. Polkovnikov & G. Refael, *Phys. Rev. Lett.*, **100**, 170402 (2008).

G.M. Falco, T. Nattermann, & V.L. Pokrovsky, *Phys. Rev.*, **B80**, 104515 (2009).



Is it a normal fluid at any temperature?

What is insulator?

Perfect Insulator Zero DC conductivity at finite temperatures

Possible if the system is decoupled from any outside bath

Normal metal (fluid)

Finite (even if very small) DC conductivity at finite temperatures

1D Luttinger liquid: bosons = fermions ?

Bosons with infinitely strong repulsion

Free fermions

Free bosons \approx Fermions with infinitely strong attraction

Weakly interacting bosons







As soon as the occupation numbers become large the analogy with fermions is not too useful

All one-particle states are localized in 1D - perfect insulator without interaction

This is correct for both fermions and bosons

Fermi-systems remain perfect insulators at low enough temperatures even in the presence of the interaction Basko, Aleiner & BA, 2005

What about bose-systems ?

Difference: many bosons can occupy a given one-particle state. Interaction matrix elements increase with occupation numbers 1D Weakly Interacting Bosons + Disorder

Aleiner, BA & Shlyapnikov, 2010, Nature Physics, to be published cond-mat 0910.4534







Density of States $V(\varepsilon)$ in one dimension



Weak disorder – random potential U(x)



Characteristic scales:





Finite density Bose-gas with repulsion

Density **n**

Two more energy scales

Temperature of quantum degeneracy $T_d \equiv \frac{\hbar^2 n^2}{T_d}$

Interaction energy per particle ng

Two dimensionless parameters

$$\kappa \equiv E_*/ng$$

Characterizes the strength of disorder

 $\gamma \equiv ng/T_d$ Characterizes the interaction strength

Strong disorder $\kappa >> 1$ Weak interaction $\gamma << 1$











High temperatures: $T >> T_d \iff t >> \gamma^{-1}$

Bose-gas is not degenerated; occupation numbers either 0 or 1

Number of

channels

 $\kappa_c(t) \propto t^{1/3}$

 $t\gamma >> 1$

Matrix element of the transition $M \sim g/\varsigma(\varepsilon = T) \sim (gE_*)/(\varsigma_*T)$ should be compared with the minimal energy mismatch $(v\varsigma)^{-1}/(n\varsigma) \sim (vn\varsigma_*^2T^2)^{-1}E_*^2$

Localization spacing \mathcal{S}_{c}

Intermediate temperatures: $\gamma^{-1/2} \ll t \ll \gamma^{-1}$

1.
$$T \ll T_d \iff t\gamma \ll 1$$

- 2. Bose-gas is degenerated; occupation numbers either >>1.
- 3. Typical energies $|\mu|=T^2/T_d$, μ is the chemical potential. Correct as long as multiple $N(\varepsilon) \sim \frac{T}{\varepsilon}$ $|\mu| >> ng, E_* \iff t\sqrt{\gamma} >> 1$ << T4. Characteristic energies $\mathcal{E} \sim \mu$ $>> ng, E_*$ We are still dealing with the high energy

Intermediate temperatures: $\gamma^{-1/2} \ll t \ll \gamma^{-1}$

$$\left|\mu\right| = T^2/T_d >> ng, E_*$$

$$T \ll T_d$$

Bose-gas is degenerated; typical energies ~ $|\mu| >> T \rightarrow$ occupation numbers $>>1 \rightarrow$ matrix elements are enhanced

$$MN_{1} \sim \frac{g}{\zeta(\varepsilon)} \frac{T}{\varepsilon}$$

$$\kappa_c(t) \propto t^{2/3} \gamma^{1/3} \qquad \sqrt{\gamma} << t\gamma << 1$$






 $\begin{array}{c} \kappa \to \kappa_c \\ l(\kappa) << \varsigma_* \end{array} \longrightarrow \begin{array}{c} \text{Insulator} - \text{Superfluid transition in} \\ \text{a chain of "Josephson junctions"} \end{array}$







Disordered interacting bosons in two dimensions



Disordered interacting bosons in two dimensions



Justification:

- 1. At T=0 normal state is unstable with respect to either insulator or superfluid.
- 2. At finite temperature in the vicinity of the critical disorder the insulator can be thought of as a collection of "lakes", which are disconnected from each other. The typical size of such a "lake" diverges. This means that the excitations in the insulator state are localized but the localization length can be arbitrary large. Accordingly the many -body delocalization is unavoidable at an arbitrary low but finite T.







Q: What happens in the classical limit $\hbar \to 0$? Speculations: 1. No transition $T_c \to 0$ 2. Bad metal still exists

Reason: Arnold diffusion



to a torus and vice versa

d = 2

All classical trajectories correspond to a finite motion

d > 2 Most of the trajectories correspond to a finite motion

However small fraction of the trajectories goes infinitely far

Arnold diffusion

- 1. Most of the tori survive KAM
- 2. Classical trajectories do not cross each other # of dimensions

space

real space	d
phase space	2 <i>d</i>
energy shell	2d-1
tori	d

$$d = 2 \implies d_{en.shell} - d_{tori} = 1$$

Each torus
has "inside"
and "outside" inside

$$d = 2 \implies d_{en.shell} - d_{tori} = 1$$

A torus does not have "inside" and "outside" as a ring in >2 dimensions



Speculations:

- Arnold diffusion ←→→ Nonergodic (bad) metal
- 2. Appearance of the transition (finite T_c) quantum localization of the Arnold diffusion

Conclusions

Anderson Localization provides a relevant language for description of a wide class of physical phenomena – far beyond conventional Metal to Insulator transitions.

Transition between integrability and chaos in quantum systems

Interacting quantum particles + strong disorder. Three types of behavior: ordinary ergodic metal "bad" nonergodic metal "true" insulator

A closed system without a bath can relaxation to a microcanonical distribution only if it is an ergodic metal

